



COMPLIANCE

USP <800> & PROPOSED <797>

SEPTEMBER 2018



PHARMACY
COMPOUNDING
ACCREDITATION
BOARD

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COMPLIANCE

USP <800> & PROPOSED <797>

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WELCOME
USP <800> & Proposed <795>/<797> Compliance

ACHC PHARMACY COMPOUNDING ACCREDITATION BOARD

NOTES

WELCOME

- Housekeeping Items

Restrooms

No Smoking

Breaks

Lunch

Evaluations

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ACCREDITATION UNIVERSITY

TOOLS

Workbooks
Readiness
Policy & Procedure Manuals
Performance Improvement (PI) Audit
Tools

EDUCATION

Workshops
Webinars
Training

CONSULTING

Mock Surveys
Compliance Audits
Pre-Survey Prep

Customer Centered

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NOTES

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 PHARMACY



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COMPLIANCE – USP <800> & PROPOSED <797>

OUR PROGRAM

- Introduction and implementation of USP <800>
- What's new in the proposed USP <795> and <797>?
- Today is your opportunity to ask questions about how these changes will impact your pharmacy
- *Network with your colleagues!*

NOTES



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ACHC PHARMACY ACCREDITATION

- Hazardous Drug standards are currently incorporated into PCAB and IRX standards
- The *Distinction in Hazardous Drug Handling* provides standards built from USP <800>

Pharmacy Services:

- AIC – Ambulatory Infusion Center
- IRN – Infusion Nursing
- IRX – Infusion Pharmacy
- SRX – Specialty Pharmacy
 - SRX Only – SRX without DMEPOS
- LTC – Long Term Care Pharmacy

PCAB Accreditation

- CFNS – Non-Sterile Compounding (Ref. USP <795>)
- CFST – Sterile Compounding (Ref. USP <797>)
- AIS – ACHC Inspection Services

Distinctions*

- ONC – Distinction in Oncology
- HDH – Distinction in Hazardous Drug Handling (Ref. USP <800>)
- HIV – Distinction in Infectious Disease Specific to HIV
- NTS – Distinction in Nutrition Support

*The provider must be accredited with ACHC to be eligible for a distinction service.

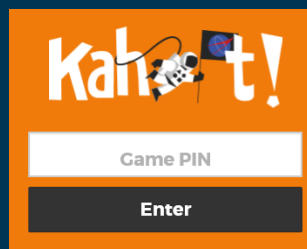


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TEACHING TOOL: Kahoot!

- To create your nickname use your initials and your zip code
 - Example: AU27513



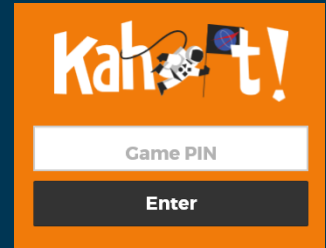
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NOTES

TEACHING TOOL: Kahoot!

- Cell phone or laptop
- Go to Kahoot.it
- Enter game PIN
- Enter your nickname
- See "You're in"
- You're ready!



Exposure to Hazardous Drugs:

Why Should I Care?



WHY SHOULD I CARE? – INDUSTRY EVIDENCE

- 1999: Pharmacists, techs, & nurses handling HDs
 - 40% higher risk of stillbirths and spontaneous abortions
- 2010: Healthcare Worker Study (including pharmacy)
 - Chromosome 5&7 abnormalities
 - Breast and prostate cancer both linked to C-5
- 2014: Pharmacy student dies of fentanyl overdose at a compounding pharmacy
 - After only four days on the job
- 2014: Evaluation of manufacturing practices finds drug residue on external packaging of containers of 5-FU and cisplatin

COMPLIANCE – USP <800> & PROPOSED <797>

WHY SHOULD I CARE? – EXTERNAL FACTORS

- HD protection is growing as a regulatory requirement
 - State Boards of Pharmacy
 - FDA
 - OSHA – *Controlling Occupational Exposure to Hazardous Drugs*

- Growing interest in waste-streams

- Liability?

Hartford News

OSHA cites New Haven pharmacy for multiple violations

Posted: 10/23/2014, 03:00pm | WTNH

New Haven, Conn. (WTNH) — The Occupational Safety and Health Administration (OSHA) has cited a New Haven pharmacy for multiple violations during their most recent inspections following a July chemical spill that sent four employees to the hospital.

In all, OSHA's proposed fines total \$77,220.



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HISTORY

- Concern over exposure to hazardous drugs (HDs) is not new!
 - 1986 – first OSHA guidelines for cytotoxic drugs
 - 1990 – ASHP technical assistance bulletin on handling cytotoxic and hazardous drugs
 - 2004 – NIOSH Alert - Preventing Occupational Exposures to Antineoplastic and Other Hazardous Drugs in Health Care Settings
 - 2008 – USP Chapter <797> included sterile hazardous drug guidance
 - March 2014 – USP Chapter <800> draft released
 - February 2016 – final version of Chapter <800> released
 - December 1, 2019 – “Effective Date” for <800> *Hazardous Drugs – Handling in Healthcare Settings*



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VIDEO - [HTTPS://VIMEO.COM/18804273](https://vimeo.com/18804273)



Dying after handling lifesaving drugs

Related Videos
Autoplay next video



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NOTES

PROTECTION FROM HDs IS NOT NEW

- Current USP <797> requires a negative pressure buffer room:
 - There is an undefined “low volume exemption”
 - **There is no low volume exemption in <800>**
- Current USP <795> addresses HDs:
 - In very general terms
- OSHA’s *Controlling Occupational Exposure to Hazardous Drugs* references <800>, <797>, <795>
- Existing HD standards have not been strictly enforced
- USP <800> consolidates and expands existing requirements

INTRO TO USP <800>

- How to read a Safety Data Sheet (SDS)
- The HD list
- The compounding environment
- Primary Engineering Controls (PECs)
- Deactivation and decontamination
- Personal protective equipment (PPE)
- Personnel
- Receiving, shipping, and storage
- Occupational Safety and Health Administration (OSHA) Hazard Communication program
- Disposal

USP <800>

- Establishes quality and practice standards for handling HDs
- Promotes worker and patient safety
- Defines processes to minimize exposure to HDs
- Eliminates previous exemptions for handling HDs
- Applies to all healthcare personnel who handle HDs:
 - Pharmacists
 - Techs
 - Delivery personnel

COMPLIANCE – USP <800> & PROPOSED <797>

WHAT IS HAZARDOUS

1. Appears on current “NIOSH List of Antineoplastic and Other Hazardous Drugs”
2. Meets National Institute for Occupational Safety and Health (NIOSH) list criteria for HDs
3. Treat as hazardous if there is insufficient information

NOTES

THE NIOSH LIST CATEGORIES

- Antineoplastic drugs:
 - Tamoxifen
 - Fluorouracil
 - Cyclophosphamide
- Non-Antineoplastic Drugs:
 - Estradiol
 - Progesterone
 - Testosterone
 - Apomorphine
 - Cyclosporine
- Reproductive Hazards:
 - Misoprostol
 - Spironolactone
 - Human chorionic gonadotropin (HCG)

SAFETY DATA SHEETS



HOW TO READ AN SDS



1. IDENTIFICATION OF THE SUBSTANCE/PREPARATION AND THE COMPANY/UNDERTAKING

Pfizer Inc
Pfizer Pharmaceuticals Group
235 East 42nd Street
New York, New York 10017
1-212-573-2222

Emergency telephone number:
CHEMTREC (24 hours): 1-800-424-9300
Contact E-Mail: pfizer-MSDS@pfizer.com

Pfizer Ltd
Ramsgate Road
Sandwich, Kent
CT13 9NJ
United Kingdom
+00 44 (0)1304 616161
Emergency telephone number:
International CHEMTREC (24 hours): +1-703-527-3887

Material Name: Cyclophosphamide Powder for Injection

Trade Name: SYKLOFOSFAMID, CYCLOBLASTIN, CYCLOPHOSPHAMIDE, CYCLOPHOSPHAMID, CYCLOSTIN, NEOSAR
Chemical Family: Alkylating Agent
Intended Use: Pharmaceutical product used as Antineoplastic

2. HAZARDS IDENTIFICATION

Appearance: White crystalline powder
Signal Word: DANGER

Statement of Hazard: Toxic if swallowed.
May cause cancer.
May damage fertility or the unborn child.
May cause genetic defects.

Additional Hazard Information:
Long Term: The use of this drug during pregnancy has resulted in birth defects. Animal studies have shown a potential to cause adverse effects on the fetus. Repeat-dose studies in animals have shown a potential to cause adverse effects on reproductive system. Effects on blood and blood-forming organs have also occurred.

Known Clinical Effects:
EU Classification
EU indication of danger: Toxic
Toxic to reproduction: Category 1
Carcinogenic: Category 1
Mutagenic: Category 1

EU Hazard Symbols:



EU Risk Phrases: R25 - Toxic if swallowed.
R45 - May cause cancer.
R46 - May cause heritable genetic damage.
R60 - May impair fertility.
R61 - May cause harm to the unborn child.

3. COMPOSITION/INFORMATION ON INGREDIENTS

Hazardous					
Ingredient	CAS Number	EU EINECS/ELINCS List	EU Classification	%	
Cyclophosphamide	50-18-0	200-015-4	T, R25 Repr. Cat. 1, R60-61 Carc. Cat. 1, R45 Mut. Cat. 1, R46	100	

4. FIRST AID MEASURES

Eye Contact: Flush with water while holding eyelids open for at least 15 minutes. Seek medical attention immediately.

Skin Contact: Remove contaminated clothing. Flush area with large amounts of water. Use soap. Seek medical attention.

Ingestion: Never give anything by mouth to an unconscious person. Wash out mouth with water. Do not induce vomiting unless directed by medical personnel. Seek medical attention immediately.

Inhalation: Remove to fresh air and keep patient at rest. Seek medical attention immediately.

Symptoms and Effects of Exposure: For information on potential signs and symptoms of exposure, See Section 2 - Hazards Identification and/or Section 11 - Toxicological Information.

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5. FIRE FIGHTING MEASURES

Extinguishing Media:	Use carbon dioxide, dry chemical, or water spray.
Hazardous Combustion Products:	Carbon dioxide, carbon monoxide, and oxides of nitrogen phosphorous
Fire Fighting Procedures:	During all fire fighting activities, wear appropriate protective equipment, including self-contained breathing apparatus.
Fire / Explosion Hazards:	Fine particles (such as dust and mists) may fuel fires/explosions.

6. ACCIDENTAL RELEASE MEASURES

Health and Safety Precautions:	Personnel involved in clean-up should wear appropriate personal protective equipment (see Section 8). Minimize exposure.
Measures for Cleaning / Collecting:	Contain the source of spill if it is safe to do so. Collect spilled material by a method that controls dust generation. A damp cloth or a filtered vacuum should be used to clean spills of dry solids. Clean spill area thoroughly.
Measures for Environmental Protections:	Place waste in an appropriately labeled, sealed container for disposal. Care should be taken to avoid environmental release.
Additional Consideration for Large Spills:	Non-essential personnel should be evacuated from affected area. Report emergency situations immediately. Clean up operations should only be undertaken by trained personnel.

NOTES

7. HANDLING AND STORAGE

General Handling:	Restrict access to work area. Designate a change area to facilitate 'good manufacturing' decontamination practices. Ground and bond all bulk transfer equipment. No open handling permitted. All operations should be fully enclosed. Avoid inhalation and contact with skin, eye, and clothing. When handling, use appropriate personal protective equipment (see Section 8). Wash hands and any exposed skin after removal of PPE. Releases to the environment should be avoided. Review and implement appropriate technical and procedural waste water and waste disposal measures to prevent occupational exposure or environmental releases. Potential points of process emissions of this material to the atmosphere should be controlled with dust collectors, HEPA filtration systems or other equivalent controls.
Storage Conditions:	Store at room temperature in properly labeled containers. Keep away from heat, sparks and flames.

8. EXPOSURE CONTROLS / PERSONAL PROTECTION

No Occupational Exposure Limit (OEL) or Short Term Exposure Limit (STEL) has been identified.	
Engineering Controls:	Engineering controls should be used as the primary means to control exposures. Use process containment, local exhaust ventilation, or other engineering controls to maintain airborne levels below recommended exposure limits. All operations should be fully enclosed. No air recirculation permitted.
Environmental Exposure Controls:	Refer to specific Member State legislation for requirements under Community environmental legislation.
Personal Protective Equipment:	Refer to applicable national standards and regulations in the selection and use of personal protective equipment (PPE).
Hands:	Wear impervious, disposable gloves as minimum protection (double recommended).
Eyes:	Wear safety glasses as minimum protection.
Skin:	Wear impervious disposable protective clothing when handling this compound.
Respiratory protection:	Whenever excessive air contamination (dust, mist, vapor) is generated, respiratory protection, with appropriate protection factors, should be used to minimize exposure.

9. PHYSICAL AND CHEMICAL PROPERTIES

Melting/Freezing Point (°C):	41
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10. STABILITY AND REACTIVITY

Chemical Stability:	Stable under normal conditions of use.
Conditions to Avoid:	Fine particles (such as dust and mists) may fuel fires/explosions.
Incompatible Materials:	As a precautionary measure, keep away from strong oxidizers

NOTES

11. TOXICOLOGICAL INFORMATION

Carcinogen Status: See below

Cyclophosphamide

IARC:	Group 1 (Carcinogenic to Humans)
NTP:	Known Human Carcinogen
OSHA:	Listed

12. ECOLOGICAL INFORMATION

Environmental Overview: Environmental properties have not been thoroughly investigated. Releases to the environment should be avoided.

13. DISPOSAL CONSIDERATIONS

Waste Treatment Methods: Dispose of waste in accordance with all applicable laws and regulations. Member State specific and Community specific provisions must be considered. Considering the relevant known environmental and human health hazards of the material, review and implement appropriate technical and procedural waste water and waste disposal measures to prevent occupational exposure and environmental release. It is recommended that waste minimization be practiced. The best available technology should be utilized to prevent environmental releases. This may include destructive techniques for waste and wastewater.

14. TRANSPORT INFORMATION

The following refers to all modes of transportation unless specified below.

This material is regulated for transportation as a hazardous material/dangerous good.

UN number:	UN 2811
UN proper shipping name:	Toxic solid, organic, n.o.s. (cyclophosphamide)
Transport hazard class(es):	6.1
Packing group:	III

15. REGULATORY INFORMATION

OSHA Label:
DANGER
Toxic if swallowed.
May cause cancer.
May damage fertility or the unborn child.
May cause genetic defects.

Cyclophosphamide	
CERCLA/SARA Hazardous Substances and their Reportable Quantities:	10 lb
California Proposition 65	4.54 kg
	carcinogen initial date 2/27/87
	developmental toxicity initial date 1/1/89
	female reproductive toxicity 1/1/89
	male reproductive toxicity initial date 1/1/89

16. OTHER INFORMATION

Text of R phrases and GHS Classification abbreviations mentioned in Section 3

R25 - Toxic if swallowed.
R45 - May cause cancer.
R46 - May cause heritable genetic damage.
R60 - May impair fertility.
R61 - May cause harm to the unborn child.

Data Sources: Pfizer proprietary drug development information. Publicly available toxicity information.

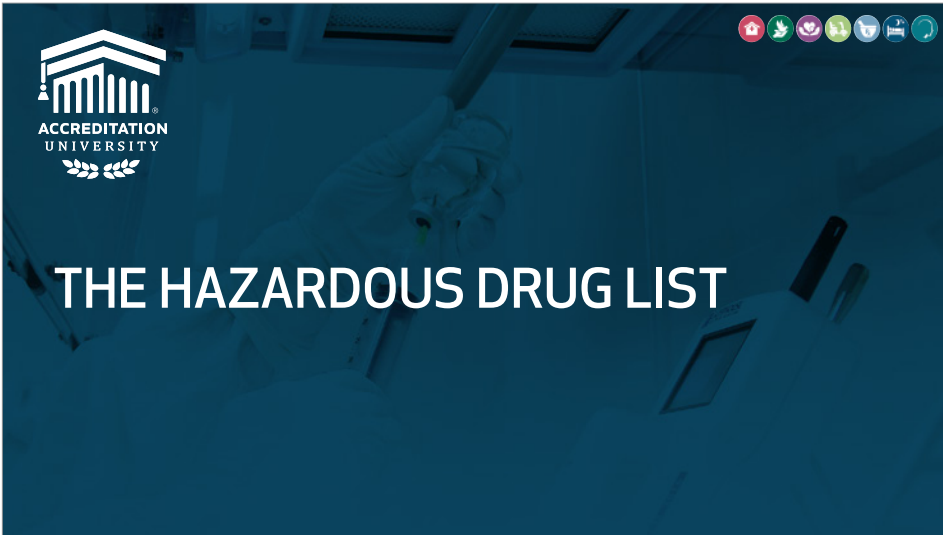
Reasons for Revision: Updated Section 3 - Composition / Information on Ingredients.

Prepared by: Product Stewardship Hazard Communication
Pfizer Global Environment, Health, and Safety Operations

Pfizer Inc believes that the information contained in this Material Safety Data Sheet is accurate, and while it is provided in good faith, it is without warranty of any kind, expressed or implied. If data for a hazard are not included in this document there is no known information at this time.

End of Safety Data Sheet

COMPLIANCE – USP <800> & PROPOSED <797>



NOTES

THE HAZARDOUS DRUG LIST

- OSHA requirement (29 CFR 1910.1200)
- Guides all activities for handling and disposal of HDs
- Must be used to train employees
- An ongoing reference for employees

- **Must include all NIOSH-listed drugs**
- **Must be reviewed at least annually**
- **Must be updated with new HDs**
- **Recommendation: Keep an electronic version**

RESOURCES TO CREATE YOUR LIST

- NIOSH List
- SDS:
 - **Create an SDS file for all HDs stocked!**
 - Risks
 - Transport requirements
- Package inserts:
 - Special handling requirements

WHAT SHOULD BE ON THE LIST?

Drug	Form	CAS#	Category	Hazard	Location
Estradiol	API	50-28-2	Non-Antineoplastic	May cause cancer. May damage fertility or the unborn child.	HD Storage HD NS Compounding
	Capsules	50-28-2		May cause cancer. May damage fertility or the unborn child.	HD Storage HD NS Compounding Pick Up
Cyclophosphamide	Vials	50-18-0	Antineoplastic	Toxic if swallowed. May cause cancer. May damage fertility or the unborn child. May cause genetic defects.	HD ST Buffer Pick Up

WHAT SHOULD BE ON THE LIST?

Drug	Form	Location	Receiving	Compounding	Counting FD	Transport
Estradiol	API	HD Storage HD NS Compounding	Full Precautions per SOP XXX	Full Precautions	N/A	N/A
	Capsules	Storage Pick up HD NS Compounding	N/A	Full Precautions	Dedicated Utensils Std HD precautions per SOP XXXX	HD Precautions per SOP XXXX
Cyclophosphamide	Vials	HD ST Buffer Pick Up	Full Precautions per SOP XXX	Full Precautions	Gown/Double gloves	HD Precautions per SOP XXXX

WHAT SHOULD BE ON THE LIST?

Drug	Shipping	Disposal	Pregnant	Alternative Containment Strategy
Estradiol	Not Dangerous Goods	HD Waste	PR Protocol	N/A
	Not Dangerous Goods	HD Waste	PR Protocol	N/A
Cyclophosphamide	UN2811 Toxic solid, organic, n.o.s. (cyclophosphamide) Hazard Class: 6.1 Packing Group 3 Air Cargo: 30ml or less per inner container Upto 1 liter total in box "E" Label Ground 4 Liters per inner container 5kg if solid	HD Waste	PR Protocol	N/A

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CONTAINMENT REQUIREMENTS

NOTES






CONTAINMENT REQUIREMENTS

- What qualifies?
- What are environmental requirements?
- Engineering controls?
- Additional equipment?



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WHAT REQUIRES CONTAINMENT?

- NIOSH-list drugs that must follow <800>'s containment requirements:
 - HD API
 - Antineoplastics requiring further manipulation
- NIOSH-list drugs that do not have to follow containment requirements if an *assessment of risk* is performed and implemented:
 - Final dosage forms of compounded HD preparations
 - Conventionally manufactured HD products that require no further manipulation than counting or repackaging
 - Non-antineoplastic HD dosage forms on the NIOSH list

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ALTERNATIVE/NO CONTAINMENT

- Final dosage forms that only require counting/repackaging:
 - Avoid automated counting or packaging machines
 - Consider manufacturer exceptions

To minimize the risk of dermal exposure, always wear impervious gloves when handling vials containing CYTOXAN sterile powder for injection, or bottles containing CYTOXAN tablets. This includes all handling activities in clinical settings, pharmacies, storerooms, and home healthcare settings, including during unpacking and inspection, transport within a facility, and dose preparation and administration.

- Assessment of Risk must include the following:
 - Type of HD
 - Dosage form
 - Risk of exposure
 - Packaging
 - Manipulation

ALTERNATIVE/NO CONTAINMENT

- Assessment of Risk (cont.):
 - Must list each drug and dosage form individually:
 - May have same information for multiple drugs or dosage forms
 - Must document **what** alternative containment strategies or work practices are being employed
 - Must be reviewed every 12 months:
 - Review must be **documented!**



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NON-STERILE HD COMPOUNDING

Containment Secondary Engineering Control (C-SEC):

- Dedicated room for HD compounding
- Negative pressure -0.01 to 0.03 inches water
- 12 ACPH
- Unclassified air
- Externally vented

NOTES

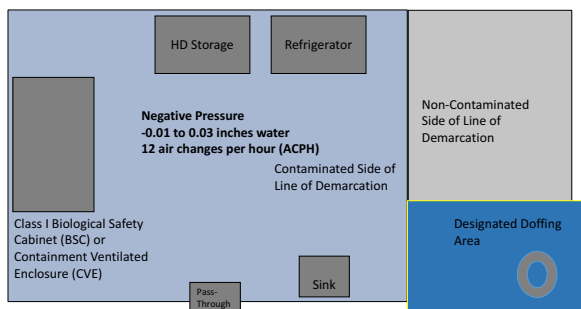
NON-STERILE HD COMPOUNDING

Smooth, seamless, and impervious surfaces:

- Avoid particle board
- Floor laid seamlessly
- Epoxy drywall or other wall material
- Covered moldings
- Impervious ceiling tiles and lighting fixtures

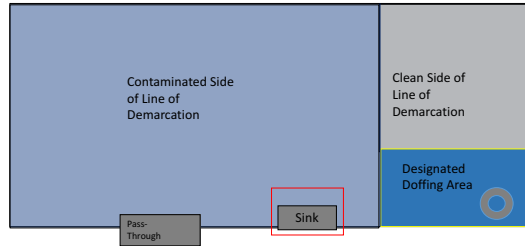
Must be able to stand decontamination with sodium hypochlorite solution

NON-STERILE HD COMPOUNDING



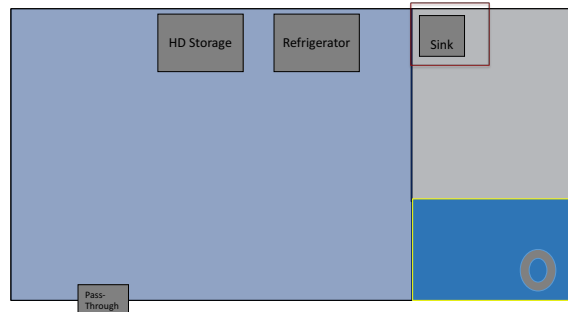
NOTES

NON-STERILE HD COMPOUNDING



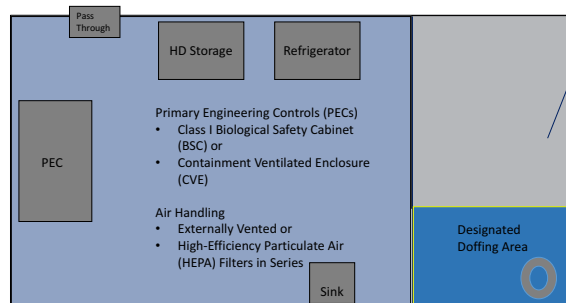
- Water must be accessible; does not specify must be in C-SEC
- USP <800> not specific about sink location
- Option: Sink in C-SEC for equipment washing

NON-STERILE HD COMPOUNDING



- Option: Sink for hand-washing in C-SEC

NON-STERILE HD COMPOUNDING



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CLASS I BSCS FOR NON-STERILE COMPOUNDING

- Protect the operator from exposure to HDs
- Do not protect HDs from exposure to the compounder



Image used with permission of AirClean Systems



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Class I BSC – Externally Vented

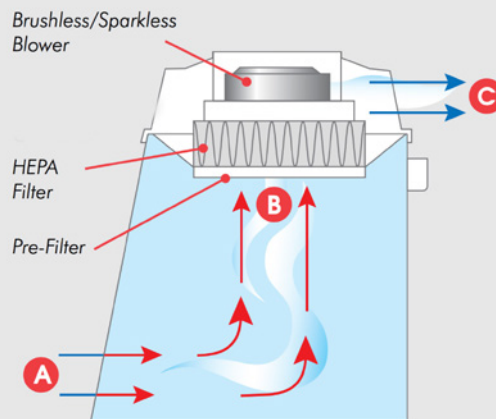


Image courtesy AirClean Systems



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Class I BSC – Redundant HEPA Filter

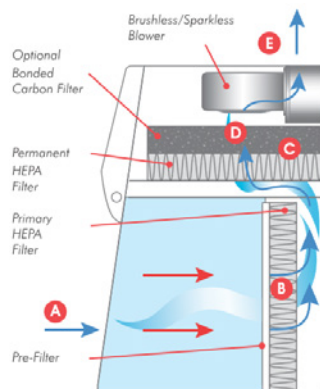


Image courtesy AirClean Systems



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NOTES

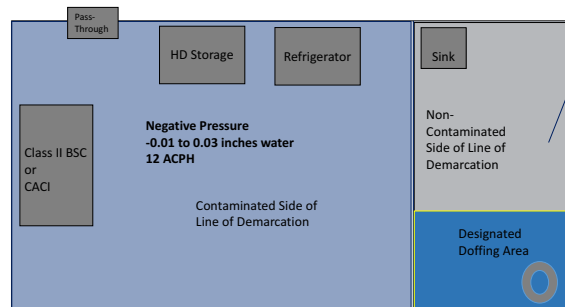
KEY POINTS ABOUT C-PECS – NS

- C-PEC may be either externally vented or go through redundant HEPA filters in series
- These devices can include:
 - Class I or II BSCs
 - Vented balance safety enclosures
 - Compounding Aseptic Containment Isolators (CACIs)
- The C-PEC must operate continuously if it supplies some or all of the negative pressure for the C-SEC

MORE TO THINK ABOUT

- A pass-through will save time and money
- What are you going to do with all that contaminated equipment?
 - Dirty side sink: Equipment never leaves the room
- Schedule your HD compounding:
 - It may not be time or PPE cost-effective to make one hormone capsules or gel Rx
- Use your old internally vented BSC to unpack
- Suggestion: Do not build in any fixtures:
 - Decontamination processes may be more difficult with drawers and cabinets
 - Use flat shelves, stainless steel tables, etc.

STERILE HD COMPOUNDING – CATEGORY 1



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CONTAINMENT SEGREGATED COMPOUNDING AREA (C-SCA)

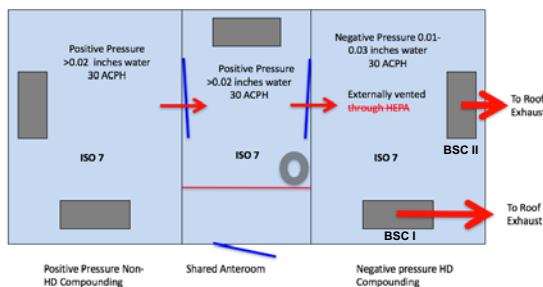
- Surfaces: Smooth, seamless, and impervious
- Pressure: 0.01-0.03 inches negative water column
- Air changes: 12 per hour
- Unclassified air
- May be used for storage (sterile HDs) and compounding
- Only for Category 1 CSPs

NOTES

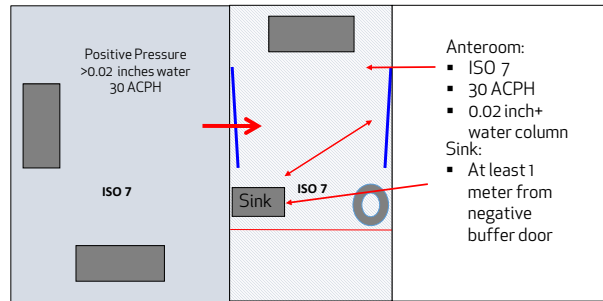
C-SCA BUDs ARE LIMITED

- Beyond-Use Date (BUD) per USP <797> for HD Compounded Sterile Preparations (CSPs) prepared in a segregated compounding area
- Current USP <797>:
 - Class II BSC/CACI: Low-risk CSPs with 12-hour BUD
 - Stand-alone CACI: Low, medium, maybe high risk
- USP <797> revision:
 - Class II BSC or CACI: ≤12h room temperature, ≤24h refrigerated

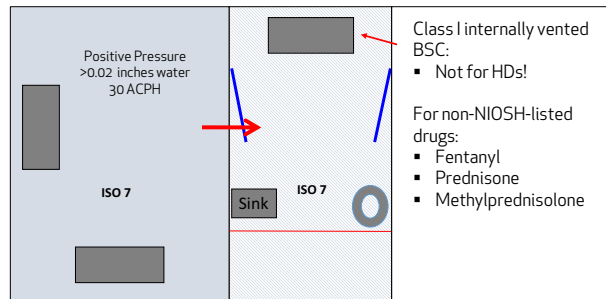
DESIGNS FOR BOTH CATEGORY 1 & 2 COMPOUNDING



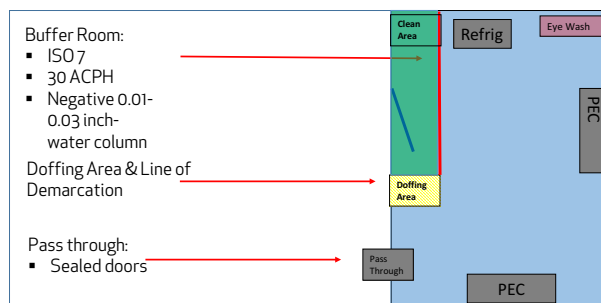
THE SHARED ANTEROOM



THE SHARED ANTEROOM

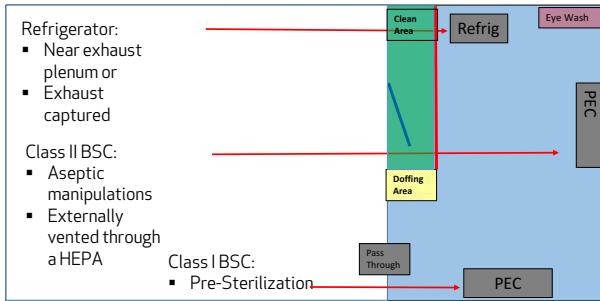


THE BUFFER ROOM



COMPLIANCE – USP <800> & PROPOSED <797>

THE BUFFER ROOM



NOTES

STERILE HD COMPOUNDING

- Smooth, seamless, and impervious surfaces
- Avoid particle board
- Floor laid seamlessly
- Epoxy drywall or other wall material
- Coved moldings
- Impervious ceiling tiles and lighting fixtures
- Must be able to stand decontamination with sodium hypochlorite solution
- Can ruin stainless steel if not inactivated

BUFFER ROOM

- **Dedicated room for HD compounding:**
 - Negative pressure 0.01 to 0.03 water column
 - ISO 7
 - 30 ACPH
 - Externally vented
- **Buffer room may be used for:**
 - Compounding sterile HDs
 - May be used for storing HDs
- **ISO 7 Anteroom is required!**
 - Different than the typical ISO 8 anteroom
 - 30 ACPH for ISO 7 vs. 20 ACPH for ISO 8

CLASS II BSC TYPES

- Type A1:
 - 75 ft./min. inflow velocity
 - Exhaust into lab or canopy:
 - Into lab would be non-compliant
 - 70% of the air recirculated/30% exhausted
 - Have positive-pressure exhaust ducts - NOT SUITABLE FOR HDs
- Type A2:
 - 100 ft./min. inflow velocity
 - Exhaust into lab or through canopy:
 - Into lab would be non-compliant

CLASS II BSC TYPES

- Type B1:
 - 100 ft./min. inflow velocity
 - Exhaust to outside via direct duct connection
 - 30% of the air recirculated/70% exhausted
 - Suitable for minute quantities of volatile drugs
- Type B2:
 - 100 ft./min. inflow velocity
 - Exhaust to outside via direct duct connection
 - 100% of the air is exhausted
 - Suitable for volatile drugs

VOLATILE DRUGS

- Turn into gas at room temperature:
 - Fluorouracil (5-FU)
 - Carmustine
 - Nitrogen mustard
 - Cyclophosphamide
 - Cisplatin
 - Ifosfamide
- Class I BSCs:
 - Internally vented are not suitable
- Class II BSCs:
 - Type A: only minute quantities
 - Type B2 – (100% vented): designed for volatile HDs

COMPLIANCE – USP <800> & PROPOSED <797>

COMPOUNDING ASEPTIC CONTAINMENT ISOLATORS (CACI)

- Various flavors of ventilation:
 - Internally vented
 - Not suitable for HD compounding under USP <800>
 - Externally vented:
 - Required under USP <800>

NOTES

FACILITY DESIGN FOR COMPLIANCE WITH USP <800>



ADDITIONAL RESOURCE



November 2016

Free Article: "Facility and Engineering Controls Using USP 800 Guidelines"
Available at: PPMag.com

NOTES

How to Calculate Supply and Exhaust CFMs

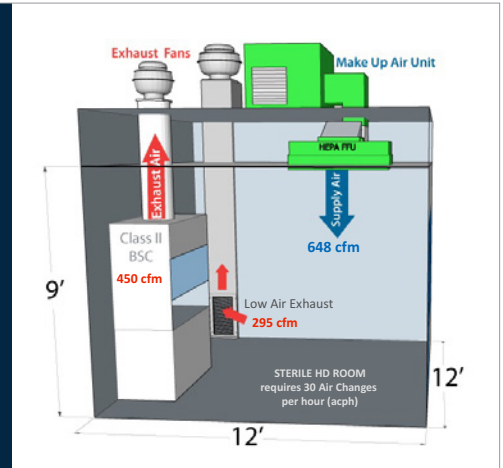
Supply Air for Sterile HD Room
 $12' \times 12' \times 9' = 1,296 \text{ ft}^3$
 $1,296 \times 30 \text{ acph} / 60 = 648 \text{ cfm}$

Exhaust for Sterile HD Room
 $648 \text{ cfm} \times 1.15 = 745 \text{ cfm}$

Class II BSC exhaust = 450 cfm

Low Air Exhaust = $745 - 450 = 295$

*The room is supplying more cfm than is being exhausted by the Class II BSC, so a supply surplus requires the use of the Low Air Exhaust for additional exhaust for balance.



How to Calculate Supply and Exhaust CFMs

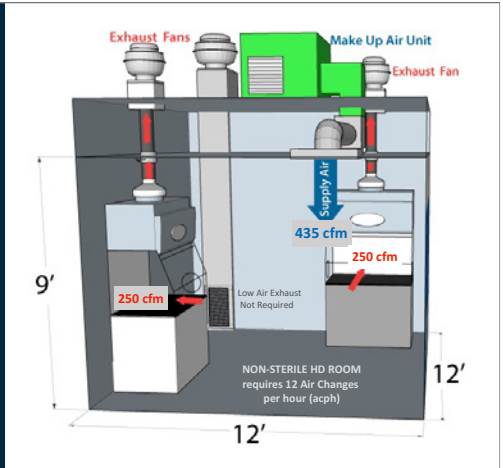
Supply Air for Sterile HD Room
 $12' \times 12' \times 9' = 1,296 \text{ ft}^3$
 $1,296 \times 12 \text{ acph} / 60 = 260 \text{ cfm}$

Exhaust for Non-Sterile HD Room
 $260 \text{ cfm} \times 1.15 = 299 \text{ cfm}$

Class-I C-PEC exhaust = 250 cfm
 $\times 2 \text{ C-PECs} = 500 \text{ cfm}$

$500 / 1.15 = 435 \text{ cfm}$ required supply

*The room is supplying less cfm than is being exhausted by the Class-I C-PECs, so a supply shortage requires more supply air for balance.



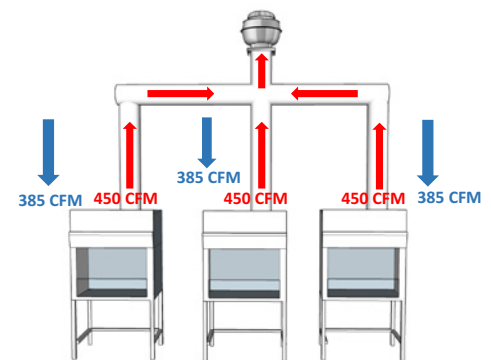
Each Incremental Unit

Each hood added in the future will affect both **EXHAUST** and **SUPPLY**

Each incremental hood:

- Exhausts 450 cfm
- Requires 385 cfm of supply for balance

Think about the future with your design and engineering controls



COMPLIANCE – USP <800> & PROPOSED <797>

ACHIEVING NEGATIVE PRESSURE

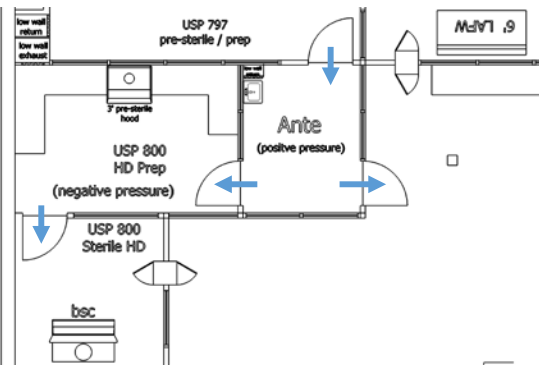
Remember:

1. The Containment Primary Engineering Control (C-PEC) will be the primary source of exhaust for the sterile HD room
2. The C-PEC may or may not be the primary source of exhaust for the non-sterile HD room:
 - Refer to information about “redundant HEPA” hoods
3. To make a room negative pressure, **exhaust cfm** must be approximately 10% - 15% greater than the **supply cfm**, based on the envelope construction

NOTES

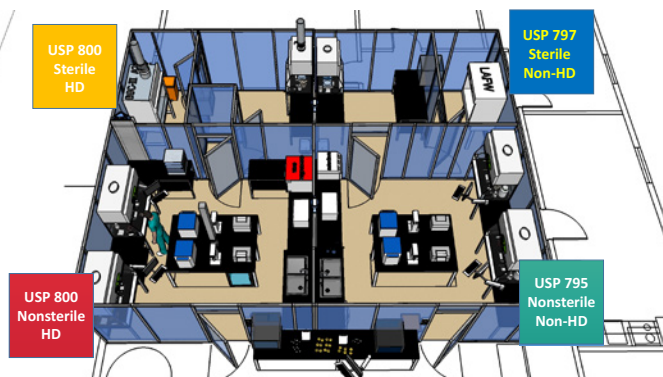
THE PROBLEM WITH NEGATIVE PRESSURE

- Consider door locations
- Consider airlocks between rooms
- CFUs come from everywhere



FOUR DIFFERENT ASPECTS OF COMPOUNDING

SEPARATE HAZARDOUS FROM NON-HAZARDOUS



ADDITIONAL INFORMATION

- Fan Filter Units (FFUs) in the Sterile HD room ceiling are a must for guaranteeing ISO classification
- FFUs in the non-sterile HD room ceiling are not necessary, but are a better way to get consistent airflow (called “cfm”)
- If you rely solely on your custom Make-Up Air (MAU) unit with HEPA filtration, your ductwork could still fail you during certification
- Metal ductwork, although more expensive, is less likely to leak – unlike flexible commercial ductwork, which can be damaged
- Metal ductwork can also be decontaminated, whereas flexible ductwork has to be trashed because it contains porous materials

TEMPERATURE AND HUMIDITY

- When you balance, commission, and certify the HD room, make sure all the equipment in place because dynamic conditions create heat
- Look back at your Temperature and Humidity logs throughout the year and see if there are times (e.g., July / August) when your air-handling system has fallen outside of range:
 - New <79> temperature target is 68 degrees
- Your existing HVAC system is not going to be able to keep up with the demands of USP <800>:
 - Adding a Sterile HD room (30 acph) to the same system as your current 797 cleanroom (30+) acph, and both hitting target temperature/humidity ranges is almost impossible
 - Adding a Non-sterile HD Room (12 acph) to the same commercial unit (typ. 4 to 8 acph) is over-stressing a system that wasn't designed for that and is a bad idea

MAKE-UP AIR UNIT (MAU)

A MAU provides 100% fresh make-up air from outside, conditions temperature and humidity, and supplies air into the hazardous drug room



COMPLIANCE – USP <800> & PROPOSED <797>

CONSIDER METAL DUCTWORK

Standard commercial flex duct with fiberglass lining gets damaged, leaks, will not sustain pressures.

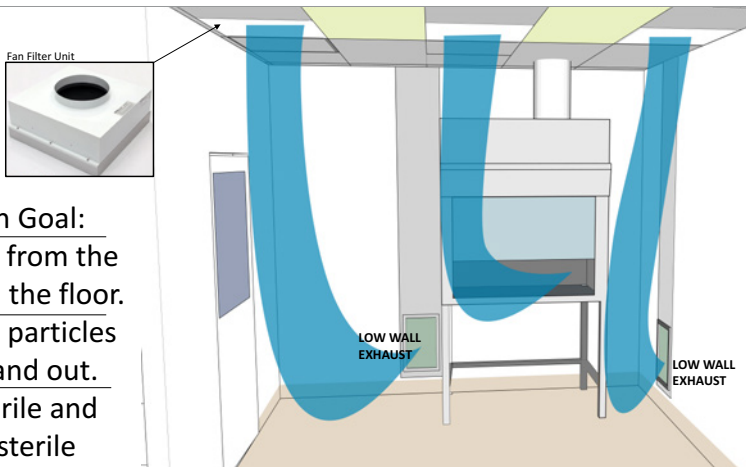


NOTES

EXHAUST BLOWER MOUNTED ON ROOF



Design Goal:
 Get air from the ceiling to the floor.
 Moves particles down and out.
 For Sterile and Non-sterile



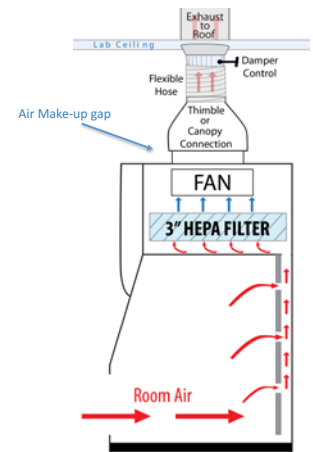
NOTES

Can I use my existing hoods?

- Yes** - Class I C-PECs with Single HEPA -
 - Must be externally exhausted
 - Class II C-PECs (A2 and B2)
 - Must be externally exhausted

Remember:

1. Do NOT hard duct the C-PEC to the exhaust system.
 Use a "thimble" connection to allow an air make-up gap
 - Contact the hood manufacturer and get their specific "thimble" or "canopy" connection
2. Use a local damper control to make air balancing easier for the Certifier



Example of hard-ducted hoods.
 -This is **not** acceptable because the external exhaust fan and the C-PEC's fan will fight each other.

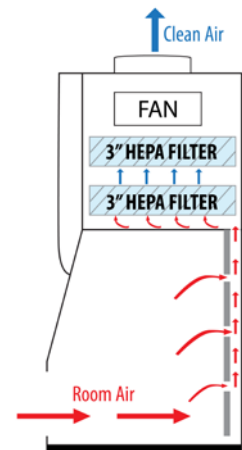


What is a C-PEC with Redundant HEPA Filtration?

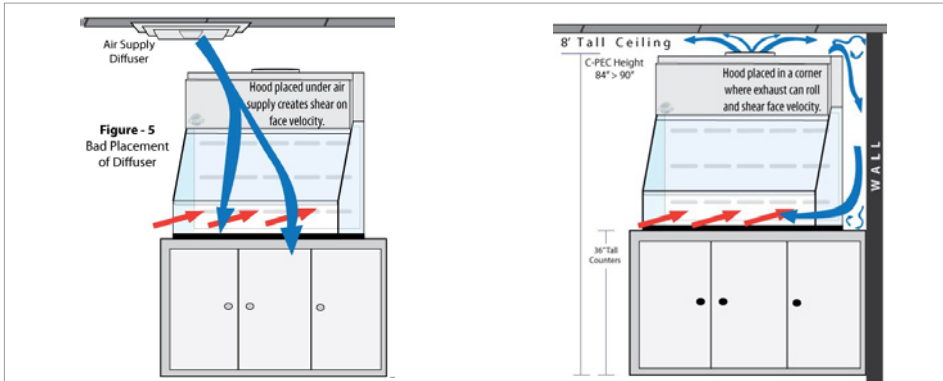
A Class I BSC (also called Containment Ventilated Enclosures - CVE) with:

1. Two HEPA filters in the exhaust downstream
2. Both HEPA filters have a capture rate of 99.97%
3. Both HEPA filters are tested and certified

*Opinion: A CVE with a HEPA pre-filter and a HEPA primary filter should not qualify as redundant filtration.



COMPLIANCE – USP <800> & PROPOSED <797>



NOTES

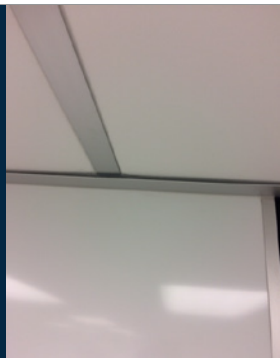
How the C-SEC Influences the C-PEC

ADDITIONAL POINTS AND ENERGY RECOMMENDATIONS

- As previously mentioned, it is very unlikely that your existing HVAC system will be able to handle the engineering demands of USP <800> compliance, and old air-handling systems can be energy hogs
- Class I C-PECs with Redundant HEPA filtration can minimize the size of a roof-mounted exhaust fan and will save some energy costs:
 - Disclaimer: Your state's BOP will make the final determination on acceptability of recirculation*
- In a situation where the Non-sterile HD room has three or more Class I C-PECs, consider redundant HEPA recirculation because more hoods equal more supply air when exhausting
- In the Sterile HD room, there is no opportunity for redundant HEPA filtration, so more C-PECs require more supply:
 - Plan for the future when purchasing a new Make Up Air Unit (MAU)



Plumbing



Ceiling Tiles



Signage

What's Wrong Here?

MATERIALS OF CONSTRUCTION - WALLS

Due to the difficulty of cleaning HD contamination, surfaces of ceilings, walls, floors, fixtures, shelving, counters, and cabinets in the non-sterile compounding area must be smooth, impervious, free from cracks and crevices, and non-shedding.

Modular walls can be reconfigured or move with you to new locations.

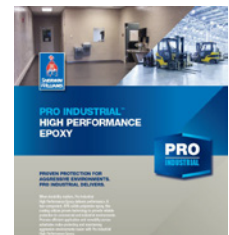
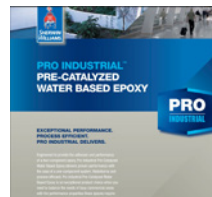


STICK FRAMED



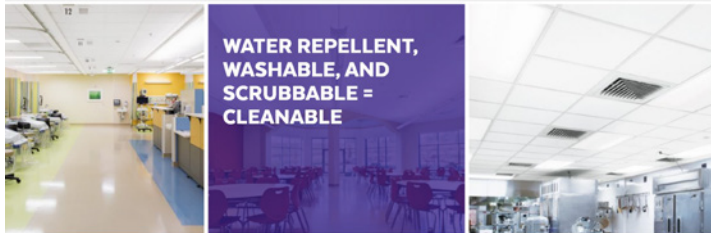
MATERIALS OF CONSTRUCTION - WALLS

Epoxy Paint:



COMPLIANCE – USP <800> & PROPOSED <797>

MATERIALS OF CONSTRUCTION – CEILING TILES



NOTES

MATERIALS OF CONSTRUCTION - LIGHTING



Surface mount LED light is easier to clean and more energy efficient.

MATERIALS OF CONSTRUCTION - FLOORING

- Heat welded
- Rolled up wall 4" - 6"



NOTES

MATERIALS OF CONSTRUCTION – CASEWORK

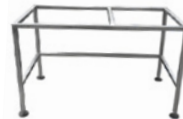
“Due to the difficulty of cleaning HD contamination, surfaces of ceilings, walls, floors, fixtures, shelving, counters, and cabinets in the non-sterile compounding area must be smooth, impervious, free from cracks and crevices, and non-shedding.”
[USP <800> Section 5.3.1]



Damaged laminate cabinet



Polypropylene



Stainless Steel

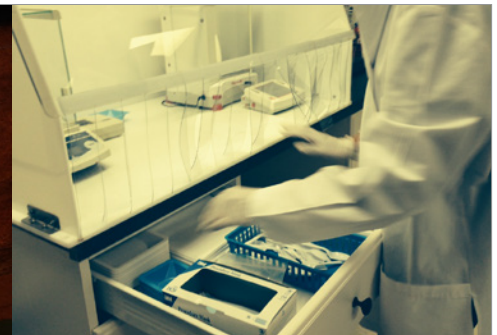


Epoxy or Phenolic

Powder coated metal

NON-STERILE HD: THE GOWNING ROOM

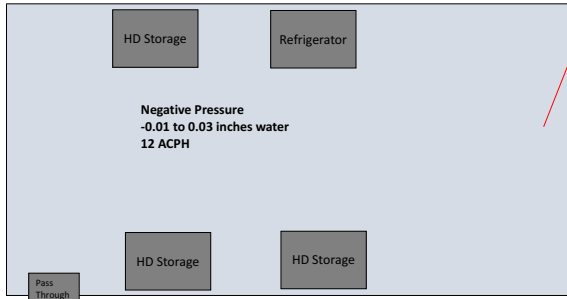
A separate room for gowning is not required for USP 800. **However**, it is a best practice to teach pharmacists and technicians that donning and doffing both primary and secondary PPE in different places are good lab practices.



Engineering Controls and Good Lab Practices are Interdependent

COMPLIANCE – USP <800> & PROPOSED <797>

A SEPARATE HD STOREROOM



To HD buffer room or non-sterile HD compounding

NOTES

STORAGE EXEMPTIONS

- Not exempted:
 - HD active pharmaceutical ingredients (APIs)
 - Any antineoplastic requiring HD manipulation
- NIOSH-listed drugs exempted if:
 - Final dosage forms not requiring additional manipulation except counting or repackaging of
 - Compounded HDs
 - Manufactured preps
 - ... and an assessment of risk is performed
- Other dosage forms of NIOSH-listed drugs:
 - Based on assessment of risk

OTHER EQUIPMENT

- Spill kits
- Eye washes:
 - OSHA requirement: Handling materials that are “corrosive”
 - ANSI: Eye wash where employees are exposed to HDs
- Closed system transfer devices **should** be used:
 - **MUST** be used for administration if the dosage form allows
- Plastic-backed prep mat on surface of PEC
- Dedicated equipment is required:
 - Mortars
 - Pestles
 - Spatulas




SOME THINGS TO THINK ABOUT ...

- Externally vented rooms move a lot of air ...
- 10'x10'x8' example:
 - 12 ACPH: enough to fill a typical hot-air balloon in 12 hours
 - 30 ACPH: fills the balloon in three hours
 - The air will weigh three tons
- External venting is usually done at the roof:
 - There may be local requirements for the venting
 - Landlord permission will be required

THINK ABOUT WORKFLOW

- Where will we deactivate/decontaminate equipment?
- Can we afford to go in and out of the room all day?
- Can we deactivate/decontaminate efficiently through scheduling?
- Dosage forms:
 - Where do we transfer batched creams and ointments into dispensing containers?
 - Do our hormone capsules have powder residue on the outside?

- ✓ Deactivating 
- ✓ Decontaminating 
- ✓ Cleaning
- ✓ Disinfecting

COMPLIANCE – USP <800> & PROPOSED <797>

DEACTIVATION & DECONTAMINATION

- Deactivation:
 - Treatment of an HD contaminant on surfaces with a chemical, heat, ultraviolet light, or another agent to transform the HD into a less hazardous agent
- Decontamination:
 - Inactivation, neutralization, or removal of HD contaminants on surfaces, usually by chemical means
- Don't forget about Cleaning <795/797> and Disinfection <797>!

NOTES

WHAT RECEIVES DDC?

- DDC **MUST** occur in all areas where HDs are handled:
 - Receiving
 - Storage
 - Compounding
- DDC **MUST** occur on reusable equipment:
 - PECs
 - Capsule machines
 - Balances
- Sterile compounding areas **MUST** also be DISINFECTED per <797>

CLEANING – SOPs AND PPE

- Written procedures for cleaning **MUST** include:
 - Procedures:
 - Must include training
 - PPE must be impermeable to agents and include double chemotherapy-type gloves and impermeable disposable gowns
 - If splashing likely = eye and face protection
 - Agents used
 - Dilutions
 - Frequency (see next slide)
 - DOCUMENTATION

FREQUENCY – FOLLOW <795> AND <797>

What	When
PECs	Between different HDs Daily Before/after certification After voluntary interruptions If moved
Equipment	Daily Between different HDs
Counters	Daily
Floors	Daily
Walls, ceilings, shelving, and storage	Monthly
Under BSC work trays	Monthly

WE ARE NOT QUITE DONE YET!

- Spills, splashes, and suspected contamination may require additional deactivation and decontamination
- After deactivation and decontamination:
 - Non-sterile: Cleaning per <795>
 - Sterile: Cleaning and disinfecting per <797>

HOW?

- 2% sodium hypochlorite followed by 1% sodium thiosulfate:
 - Sodium hypochlorite ruins stainless steel
 - Inactivate thoroughly with thiosulfate
 - Clean and/or disinfect surfaces thoroughly
- As recommended by manufacturer
- Commercial products:
 - Surface Safe®
 - HD Clean®
 - PeridoxRTU® Sporicidal Disinfectant and Cleaner
- Apply to cloth and wipe; do not spray on surfaces

COMPLIANCE – USP <800> & PROPOSED <797>

WHAT SHOULD I WEAR?

- PECs:
 - Routine sterile/non-sterile HD garb
- BSC trays:
 - Sterile/non-sterile garb plus full face cartridge respirator with multi-gas cartridge and P100 filter
- Floors/ceilings/equipment:
 - Sterile/non-sterile garb plus N95
 - Risk of splashing: goggles/face shield

NOTES



PPE FOR HD COMPOUNDING – WHEN

- MUST be worn while handling HDs during:
 - Receipt
 - Transport
 - Storage
 - Compounding
 - Administration
 - Deactivation, decontamination, cleaning, disinfecting
 - Spill cleanup
 - Waste disposal

PPE FOR HD COMPOUNDING - WHAT

- Required for compounding:
 - Gowns
 - Gloves – two pairs
 - Hair/head covers:
 - Sterile: Facial hair cover
 - Shoe covers – two pairs
 - Eye, face, and respiratory protection
 - Sterile compounders may need two layers of PPE

- Table 5 of NIOSH 2016 can provide guidance on developing you own PPE policies

CONSIDER A TABLE FOR PPE



Activity	Where	Double Gloves	Gown	Eye Protect	Respiratory Protect
Receiving	NS HD PEC	Y	Y	N*	N*
Compounding	ST/NS PEC	Y	Y	N*	N*
Filling: Creams Ointments Liquids	NS HD PEC	Y	Y	N*	N*
Counting: Tablets Capsules	Dedicated Trays	N – use single gloves	N	N	N

- *If done in a PEC, the PEC provides respiratory and eye protection
- Counting: Capsules contaminated with HD or powdery tablets may require protection during handling

Does your PPE fit properly?

Exposed Skin



COMPLIANCE – USP <800> & PROPOSED <797>

GOWNS – Non-sterile

- Disposable
- Polyethylene-coated polypropylene or laminate
- Must close in back
- Closed cuffs



NOTES

GOWNS – Sterile

- Disposable
- Polyethylene-coated polypropylene or laminate
- Must close in back
- Closed cuffs
- Two layers is best practice



Changing Gowns

- Must change:
 - Every two to three hours, or
 - Per manufacturer's instructions
 - If spill or splash
- Same for sterile/non-sterile



GLOVES

- Meet American Society for Testing and Materials (ASTM) standard D6978
- For sterile compounding:
 - Outer gloves must be sterile
- Outer gloves must be changed every 30 minutes unless otherwise recommended by manufacturer:
 - Applies to both sterile and non-sterile compounding
- Change if:
 - Torn
 - Punctured
 - Contaminated

RESPIRATORY PROTECTION

- **The PEC is your friend!**
- It will provide essential:
 - Eye protection
 - Face protection
 - Respiratory protection
- Doing everything in a PEC will save a lot of trouble!
 - Less strict respiratory protection requirements
 - Lower risk of contaminating facility
 - Lower risk of personnel exposure
 - Less cleanup
 - Containment of HD spills
 - Saves money

N95 MASKS

- Removes dust and small particles:
 - Does not remove vapors
 - Two types:
 - Surgical and non-surgical (surgical type is FDA cleared for use in healthcare settings)
- Each employee must be fit tested!
 - Performed by a “qualified person”
- Single use/disposable
- Wear whenever there is a risk of exposure:
 - Small-spill cleanup



COMPLIANCE – USP <800> & PROPOSED <797>

Full Face Cartridge Respirator with Multi-Gas Cartridge & P100 Filter

- Protects against particles and vapors
- Each employee must be fit tested
- Device is reusable
- Filter cartridges are replaceable
- Wear when:
 - Unpacking HDs not enclosed in plastic
 - Cleaning up large spills (> 5ml)
 - Deactivating/decontaminating under work surface of a C-PEC
 - Reusable PPE must be cleaned/decontaminated after use



NOTES

Eye Protection

- Goggles are required:
 - Not acceptable:
 - Safety glasses
 - Prescription eyeglasses
- Wear (with resp. protection) when:
 - Risk of spills or splashes
 - Cleaning spills
- Full face respirator is an alternative
- Face shield with goggles can protect full face



Possible Gowning Process-NS

- Entering the room:
 - Hair cover
 - Eye protection (maybe)
 - Respiratory protection (maybe)
 - Shoe covers (two pairs on each foot)
 - Wash hands
 - Put on one pair of gloves
 - Put on gown
 - Put on second pair of gloves over sleeves



NOTES

Possible Gowning Process-ST

- Entering the room:
 - Hair cover
 - Eye protection (maybe)
 - Mask (or respiratory protection - maybe)
 - Step over line of demarcation while donning shoe covers:
 - Two pairs on each foot
 - Wash hands
 - Disinfect with waterless surgical scrub
 - Don one pair of sterile chemo gloves
 - Don sterile compounding inner gown
 - Don chemo gown or apron with sterile sleeves
 - Disinfect gloves with sterile isopropyl alcohol (SIPA)
 - Don sterile chemo gloves over sleeves



LEAVING THE HD COMPOUNDING AREA

- Remove the outer set of gloves in the PEC:
 - Plastic bag or suitable container in PEC
- Move to doffing area
- Remove gown:
 - Sterile compounders – the outer gown only!
- Remove first layer of shoe covers while placing each foot into “clean” zone
- Step out of HD area
- Remove mask, hair cover, and shoe cover:
 - Sterile compounders remove gown – outside of anteroom or in “dirty” side

ADMINISTRATION OF HDs

- Must use protective medical devices and techniques:
 - Needleless systems
 - Closed system transfer devices
 - Pill crushing devices with a plastic pouch
- PPE must be worn and properly disposed of:
 - Two pairs of chemotherapy gloves a MUST
 - Gowns showing resistance to HD permeability a MUST when administering injectable antineoplastics

COMPLIANCE – USP <800> & PROPOSED <797>

PERSONNEL



NOTES

SAFETY OFFICER (A “MUST”)

- Trained and qualified for developing procedures
- Oversees compliance with USP <800>
- Ensures personnel competency
- Monitors environmental controls
- Tracks spills and personnel exposures

PERSONNEL TRAINING

- Review the list of HDs and their risks
- How to read HD labels and SDSs
- The pharmacy’s Standard Operating Procedures (SOPs) related to handling of HDs
- Proper use of PPE including respiratory protection
- Techniques for compounding with HDs
- Response to known or suspected HD exposure (including use of eyewashes)

PERSONNEL TRAINING

- Deactivating and decontaminating
- Spill prevention and management (including use of spill kits)
- Proper disposal of HDs and trace-contaminated materials

PERSONNEL COMPETENCIES

- Reading an SDS – written test
- Observational:
 - PPE – observational competency
 - Location and use of spill kits and eye washes
 - Use of closed system transfer devices
 - Signed acknowledgement of handling HDs

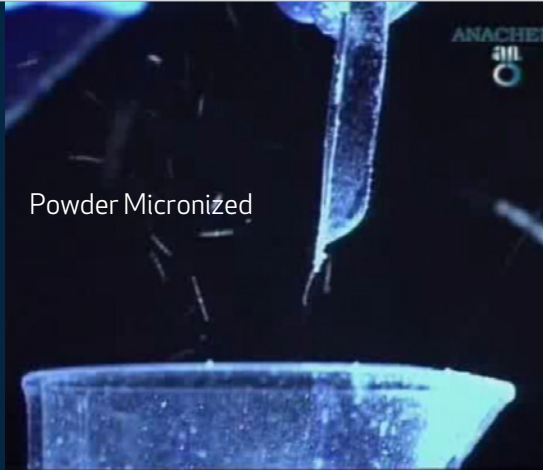
PROTECTING PERSONNEL

- Develop a policy for your facility
- Should address personnel that are:
 - Pregnant
 - Breastfeeding
 - Imminently conceiving

COMPLIANCE – USP <800> & PROPOSED <797>

The WHY for Safety

Powder Micronized



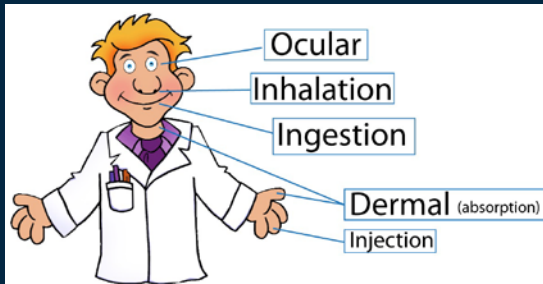
ACCREDITATION COMMISSION *for* HEALTH CARE 133

Notice Where the Micronized Powder Collects



ACCREDITATION COMMISSION *for* HEALTH CARE 134

Exposure Routes for Chemicals



ACCREDITATION COMMISSION *for* HEALTH CARE 135

NOTES

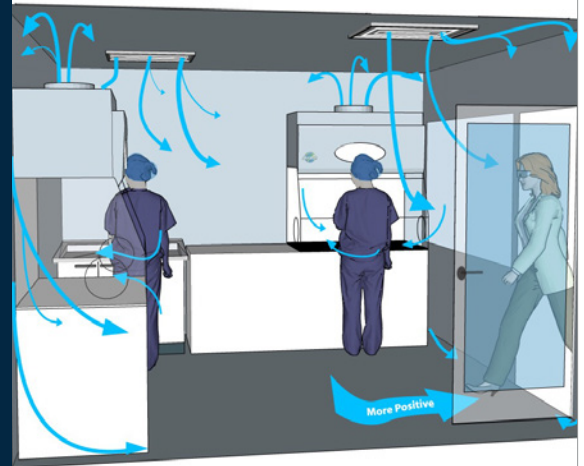
NOTES

The WHY for Negative Pressure



Wizard Stick \$25

Look more closely at your facility.



ACCREDITATION COMMISSION *for* HEALTH CARE

136

Environmental Exposure

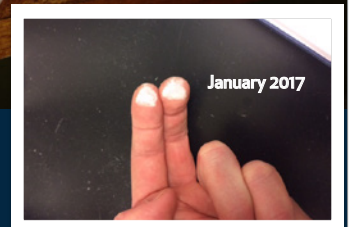


\$12

Look more closely at your facility.



November 2013



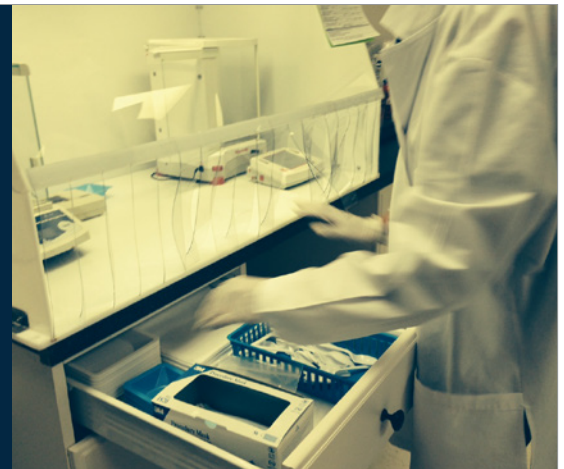
January 2017



ACCREDITATION COMMISSION *for* HEALTH CARE

137

Breaching Containment is the #1 Safety Violation

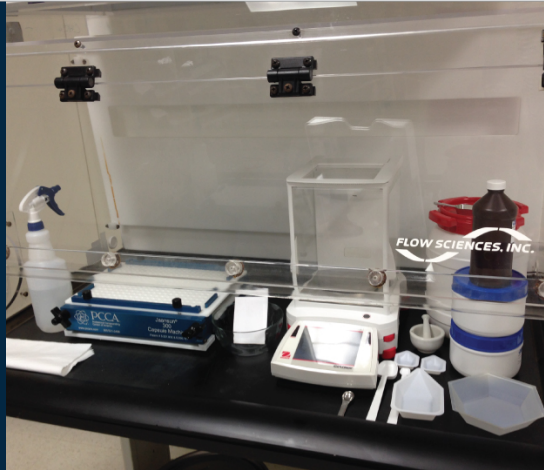


ACCREDITATION COMMISSION *for* HEALTH CARE

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COMPLIANCE – USP <800> & PROPOSED <797>

Stage Everything First Inside the C-PEC



ACCREDITATION COMMISSION *for* HEALTH CARE 139

NOTES

Recommended Setup if you use Formulation Software

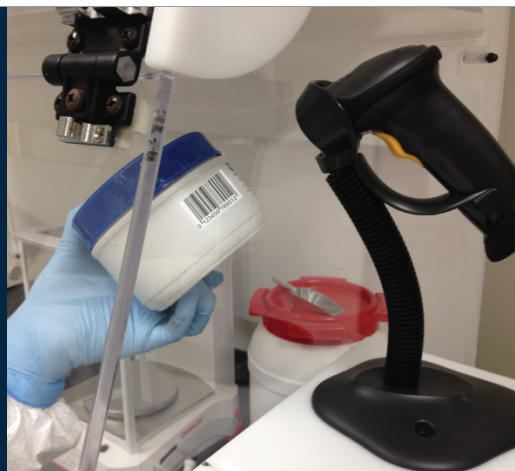


ACCREDITATION COMMISSION *for* HEALTH CARE 140

Chemicals Are Scanned Through the Sidewall of the Hood Prior to Weighing

Quality Control:

SCAN - WEIGH / SCAN - WEIGH / SCAN - WEIGH



ACCREDITATION COMMISSION *for* HEALTH CARE 141

NOTES



The Mouse Belongs Inside of the Hood

Cover the mouse with clear sticky wrap (dental supply product)



Under Normal Light



Under Black Light

Contaminated keyboard
= Contaminated lab



COMPLIANCE – USP <800> & PROPOSED <797>

Cover With Sticky Wrap or Cellophane



NOTES

EVERY C-PEC SHOULD HAVE A SPRAY BOTTLE OF ISOPROPYL ALCOHOL

- That is where it permanently resides



A Spray Bottle of 70/30 IPA Lives Inside of the Hood

All Chemical Containers Must be Sprayed and Wiped Down Prior to Removal From Hood

NOTES

Protecting Labels

Place clear packing tape over bottle labels to prevent damage to the label



Contaminated Chemicals = Contaminated Storage Area

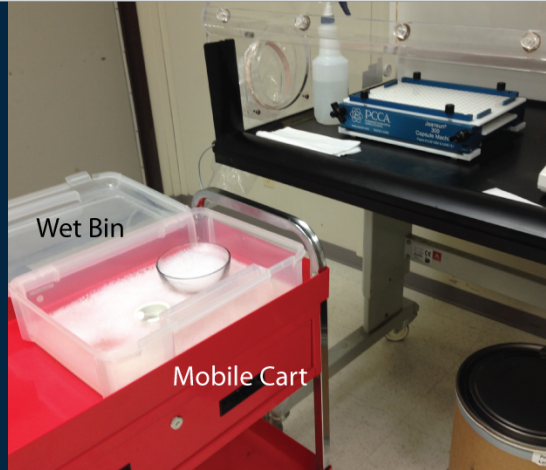


The C-PEC is NOT a Chemical Storage Cabinet



COMPLIANCE – USP <800> & PROPOSED <797>

Wet-to-Wet Transfer Method



ACCREDITATION COMMISSION *for* HEALTH CARE 151

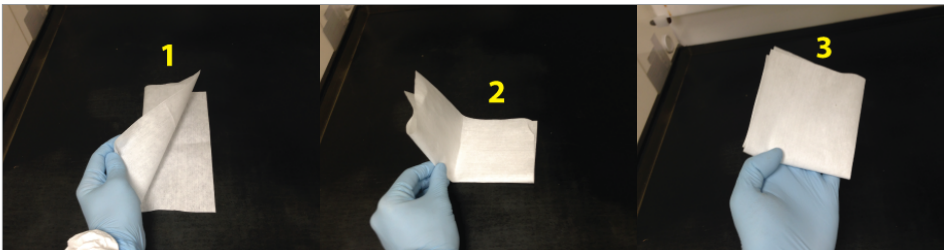


NOTES

As Script Volume Grows, So Do Safety Processes



ACCREDITATION COMMISSION *for* HEALTH CARE 152

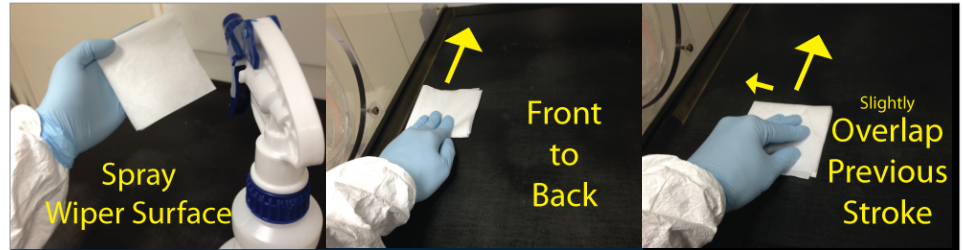


Proper Cleaning Procedure

ACCREDITATION COMMISSION *for* HEALTH CARE 153



NOTES



Proper Cleaning Procedure for Class-I Powder Hood

Doff Proper Procedure: Deglove and Desleeve Inside Hood



The Wrong Method for Disposal of Contaminated Items



COMPLIANCE – USP <800> & PROPOSED <797>

Proper Disposal of Contaminated Materials



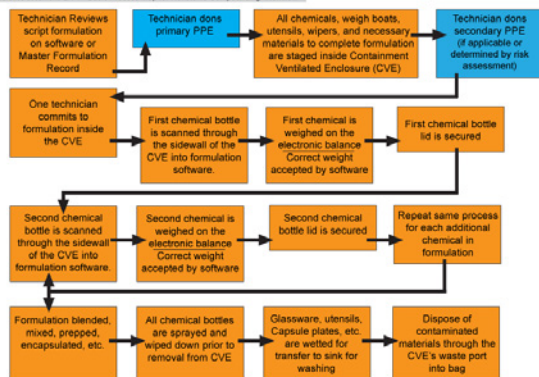
NOTES

Acceptable Alternative Disposal Method:

- Introduce a Ziploc bag into the hood in the beginning
- Place all contaminated materials into bag and zip closed
- Spray and wipe outside of bag with IPA
- Remove from hood and place in general trash



Reference document: UR-11 - Basic Process Map for Nonsterile Compounding Workflow



Important Workflow Note: If the technician must breach containment (e.g. remove contaminated hands) from the CVE during compounding, first use the bottle of 70/30 IPA to spray gloves and use a wiper to remove chemical residue. Alternatively the technician may doff contaminated gloves prior to breaching containment and don a new pair of gloves when returning to the CVE.

NOTES

Exiting the Lab

- Dispose of shoe booties
- Potentially contaminated coats never leave the lab



Staging the Script Baskets Outside the Lab

Labs Are for Lab Personnel Only



Home Shoes vs. Lab Shoes

Home shoes = dirt, dander, contaminants

Work shoes = dedicated, cleanable, comfortable

COMPLIANCE – USP <800> & PROPOSED <797>

"IF IT'S NOT DOCUMENTED, IT NEVER HAPPENED."

PCAB Surveyors and FDA Inspectors both agree that a major area of concern is lack of documentation on Personnel Training and Competency Evaluation.

Master Training Record					
Training Module Title:	Compounding Good Job Practices using model 2 Containers separated Enclosure				
Facility Name/Address:	Your Pharmacy, Your City, State, Zip				
Contact Name:	Title/Role	Email	Phone	Initials	
	Name	Other			
Name of Trainer(s)	Job	Phone	Initials		
	Company	Email	Phone	Initials	
Training Date / ICP Ch. Ref.	Date		Comments		
	2/2/2017		On Site Training		
Additional Training Objectives:	Version	Comments			
	1.0 - Basic Process Map for Personnel Compounding Activities	1.0 - 2016/07	Visual Process Map for compounding activities		
<p>1.0 Introduction This training module is provided by Bryan Pinna, Workflow Specialist with LabRad Pharmacy Consulting.</p> <p>1.1 Purpose The objective is to educate and provide additional Good Job Practices (GJP) to safe chemical handling to minimize environmental and personnel exposure while compounding inside of a Containment Enclosure (CE).</p> <p>2.0 Training Objectives</p> <ul style="list-style-type: none"> To establish the importance of staying all necessary materials and materials necessary for the formulation only to be engaged in the compounding process in an effort to minimize containment breach. To demonstrate proper chemical handling workflow inside a CE. To establish routine exposure counts during compounding formulation. To establish proper procedures for cleaning and disposal of contaminated materials. 					

NOTES

RECEIVING, SHIPPING, AND STORAGE



RECEIVING OF HDs

- Neutral or negative pressure area
- Supplier should package in impervious plastic
- If they do not:
 - Must unpack wearing full face cartridge respirator with multi-gas cartridge and P100 filter
 - Until safety is established
- If shipping container is damaged:
 - Seal container and contact supplier
 - If returning – enclose in impervious packaging and label hazardous, or discard as HD waste

RECEIVING OF HDs

- If damaged shipping container must be opened:
 - Seal in impervious container
 - Move to PEC
 - Remove undamaged items and wipe down
 - Package the damaged goods in impervious container, mark hazardous, and return; or
 - Dispose of as HD waste
- PPE must be worn during unpacking:
 - Gloves
 - Gown

RECEIVING OF HDs

- Move to storage as soon as unpacked
- Damaged or leaking packages must be treated as spills:
 - Make sure you log these
- The receiving area must be cleaned, deactivated, and decontaminated

SHIPPING OF HDs

- It is complicated:
 - Based on the specific HD
 - Based on the quantity or volume
 - Air or ground?
- It is simple:
 - A lot of HDs are exempt/partially exempt due to the quantity
- How can I tell?

COMPLIANCE – USP <800> & PROPOSED <797>

SDS SPECIFIES SHIPPING REQUIREMENTS

- Estradiol - not regulated for transport

14. TRANSPORT INFORMATION

Not regulated for transport under USDOT, EUADR, IATA, or IMDG regulations.

- Cyclophosphamide - more complicated!

14. TRANSPORT INFORMATION

The following refers to all modes of transportation unless specified below.

This material is regulated for transportation as a hazardous material/dangerous good.

UN number:	UN 2811
UN proper shipping name:	Toxic solid, organic, n.o.s. (cyclophosphamide)
Transport hazard class(es):	6.1
Packing group:	III

NOTES

DECIPHERING CYCLOPHOSPHAMIDE

- UN number:
 - Assigned by United Nations Committee of Experts on the Transport of Dangerous Goods
 - 2811 indicates a toxic solid, organic, not otherwise specified
- Proper shipping name:
 - Required on labeling if not exempt
- Packing group – refers to level packaging required:
 - Packing Group I = great danger
 - Packing Group II = medium danger
 - Packing Group III = minor danger

SO WHAT DOES THIS ALL MEAN?

- Shipping by air:
 - 30 g/ml or less per inner container
 - Up to one liter total in box
 - Triple packing:
 - Inner pack
 - Intermediate package
 - Outer package
- Exempt labeling:
 - "E" label
 - 6.1 indicates the 30 g/30 ml exemption



SO WHAT DOES THIS ALL MEAN?

- Shipping by ground:
 - Four liters or 5 kg or less per inner container
 - Triple packing:
 - Inner packaging
 - Intermediate receptacle
 - Outer packaging
- Exempt labeling:
 - Limited quantity label



SHIPPING HDs

- Limited quantities:
 - Do not require dangerous goods paperwork
 - Some changes in paperwork required
 - FedEx airbills need to say "Dangerous Goods in Excepted Quantities"
- FedEx/UPS have hazardous goods hotlines:
 - They are your best resource for shipping HDs
 - Have the UN number when you call!
 - Recording shipping information on your HD list will save time
- Delivery vehicle placarding:
 - May be required if certain exemptions exceeded

SOPs

Hazard Communication Program	Dispensing
Occupational safety program	Transport
Receipt	Environmental Monitoring
Storage	Medical Surveillance
Compounding	Medical Surveillance
Spills	HD Waste & Disposal
Disposal	
Deactivation/Decontamination	

If we talked about it today, it requires an SOP!

COMPLIANCE – USP <800> & PROPOSED <797>

BEST PRACTICE – WIPE SAMPLING

- Used to detect presence of HD residues:
 - Consider all places where HDs may be present:
 - PEC
 - Pass-through
 - Staging areas
 - Storage
 - Receiving

- Limitations:
 - Cost
 - Unknown OEL – limits usefulness of data – BUT can help validate Deactivation and Decontamination

NOTES

BEST PRACTICE – MEDICAL SURVEILLANCE

- Purpose – to minimize adverse health events in exposed personnel
- Looks at symptoms, complaints, labs for deviations
- Seeks to validate HD protections – PPE, engineering, practices
- Don't forget about HIPAA!
- Voluntary – employees may choose to not participate

OSHA HAZARDOUS COMMUNICATIONS PROGRAM



This is a regulatory requirement today!

STEP 1: BASICS

- Learn the requirements:
 - <https://www.osha.gov/Publications/OSHA3695.pdf>
- Identify who is responsible for activities:
 - Hint: the safety officer!

STEP 2: PREPARE A WRITTEN PROGRAM

- Resources
- Requirements:
 - Written list of HDs
 - How personnel are trained and notified
 - How HDs are labeled
 - How SDSs are maintained
- Resource and template
- www.lni.wa.gov/Safety/Topics/AtoZ/HazardousDrugs/resources.asp










KEEP IN MIND ...

- NIOSH and OSHA have **different definitions** of what is hazardous
- OSHA includes all NIOSH HDs and some things that are not:
 - Skin corrosion/irritation:
 - Hydrochloric acid/sodium hydroxide
 - Respiratory or skin sensitization:
 - Ketoprofen
 - Cantharidin
 - Gases under pressure

COMPLIANCE – USP <800> & PROPOSED <797>

STEP 3: ENSURE PROPER LABELING

- Vendors
- Stock containers

<p>Health Hazard</p>  <ul style="list-style-type: none"> ▪ Carcinogen ▪ Mutagenicity ▪ Reproductive Toxicity ▪ Respiratory Sensitizer ▪ Target Organ Toxicity ▪ Aspiration Toxicity 	<p>Flame</p>  <ul style="list-style-type: none"> ▪ Flammables ▪ Pyrophorics ▪ Self-Heating ▪ Emits Flammable Gas ▪ Self-Reactives ▪ Organic Peroxides 	<p>Exclamation Mark</p>  <ul style="list-style-type: none"> ▪ Irritant (skin and eye) ▪ Skin Sensitizer ▪ Acute Toxicity ▪ Narcotic Effects ▪ Respiratory Tract Irritant ▪ Hazardous to Ozone Layer (Non-Mandatory)
<p>Gas Cylinder</p>  <ul style="list-style-type: none"> ▪ Gases Under Pressure 	<p>Corrosion</p>  <ul style="list-style-type: none"> ▪ Skin Corrosion/Burns ▪ Eye Damage ▪ Corrosive to Metals 	<p>Exploding Bomb</p>  <ul style="list-style-type: none"> ▪ Explosives ▪ Self-Reactives ▪ Organic Peroxides
<p>Flame Over Circle</p>  <ul style="list-style-type: none"> ▪ Oxidizers 	<p>Environment (Non-Mandatory)</p>  <ul style="list-style-type: none"> ▪ Aquatic Toxicity 	<p>Skull and Crossbones</p>  <ul style="list-style-type: none"> ▪ Acute Toxicity (fatal or toxic)

NOTES

STEP 4: MAINTAIN SDSs

- Keep SDSs on file:
 - An electronic system is acceptable to OSHA
 - However, local fire department rules may require hard copies
- Keep SDSs accessible to employees:
 - All employees must be able to access the electronic SDSs



STEP 5: TRAIN EMPLOYEES

- We already covered this one!

NOTES

STEP 6: KEEP UPDATED

- Review and update annually along with HD list
- Update when:
 - New chemicals
 - Changes in processes/procedures

HD DISPOSAL



DISPOSAL OF HDs



This is a regulatory requirement today!

COMPLIANCE – USP <800> & PROPOSED <797>

SURPRISE!

- Environmental Protection Agency's (EPA) hazardous list is different than NIOSH's and OSHA's
- EPA uses several categories for hazardous materials, including:
 - P-List – acutely hazardous if >3%
 - U-List – toxic
 - D-List – products that contain residues that exceed a minimum concentration

NOTES

SURPRISE! EPA'S HAZARDOUS LIST IS DIFFERENT THAN NIOSH'S AND OSHA'S

Environmental Protection Agency (EPA) Resource and Conservation and Recovery Act¹
Regulated Pharmaceutical Wastes and Corresponding EPA Code Type²

P-LISTED		U-LISTED		D-LISTED	
EPA Code	Regulated Agent	EPA Code	Regulated Agent	EPA Code	Regulated Agent
P012	Arsenic trioxide	U034	Chloral hydrate	U151	Mercury
P042	Epinephrine	U035	Chlorambucil	U010	Mitomycin C
P075	Nicotine	U044	Chloroform	U182	Paraaldehyde
P081	Nitroglycerin	U058	Cyclophosphamide	U188	Phenol
P204	Physostigmine	U059	Daunomycin	U200	Reserpine
P188	Physostigmine salicylate	U075	Dichlorodifluoromethane	U201	Resorcinol
P001	Warfarin >0.3%	U089	Diethylstilbestrol	U202	Saccharine
		U122	Formaldehyde	U205	Selenium
		U129	Lindane	U206	Streptozolacin
		U150	Melphalan	U237	Uracil mustard
				U248	Warfarin <0.3%

¹ This list is not all inclusive; items listed may be additives to primary formulations.

Source- Managing Pharmaceutical Waste, ASHP.
<http://www.ashpadvantage.com/docs/pharmawaste-discussion-guide.pdf>
 Accessed March 25, 2016

ANOTHER WAY TO LOOK AT IT

- More than one P- or U-listed drug
- Chemo drugs
- NIOSH or OSHA HDs
- Drugs with LD50 less than 50mg/kg
- Endocrine disrupters
- Immunosuppressants
- Vitamins and minerals with chromium, selenium, or cadmium
- Oh ... and is it infectious waste?

NOTES

SUMMARY OF PHARMACEUTICAL WASTE STREAMS



Hazardous Drug Risk Assessment

RISK MANAGEMENT

“... Risk is defined as the combination of the probability of occurrence of harm and the severity of that harm.”

Source: Guidance for Industry, Q9 Quality Risk Management, U.S. Department of Health and Human Services Food and Drug Administration, June 2006 ICH



COMPLIANCE – USP <800> & PROPOSED <797>

BENEFITS OF RISK MANAGEMENT

- Product Quality and Consistency (Quality Assurance – USP <797>, <795>, <1163>)
- Set Internal Standards (PPE, workflow processes:)
- Decision-making gets better (establish/update corporate policies and SOPs)
- Regulatory Assurance (documentation makes them happy)
- Reputation (Patients and Providers)
- Competitive Advantage (use as a marketing tool)

NOTES

EVERYDAY EXAMPLES OF RISK MGT.



SUPPLEMENTAL READING

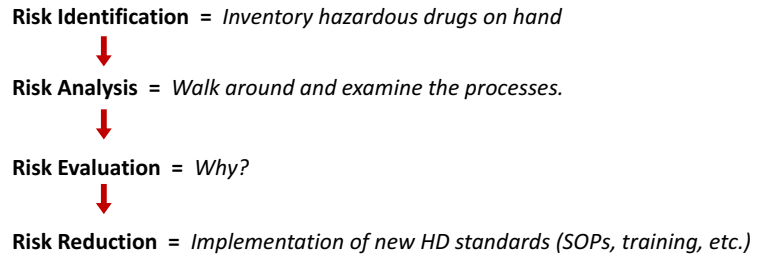
ARTICLE:

HOW TO PERFORM A HAZARDOUS DRUG RISK ASSESSMENT IN THE COMPOUNDING PHARMACY



<https://learn.nuaire.com>

RISK ASSESSMENT PROCESS



RISK IDENTIFICATION

Hazardous Drug Risk Assessment Worksheet						
Drug Name: _____						
Chemical Form: _____						
SDS Attached: Yes ___ No ___						
Antineoplastic: ___ Carcinogen: ___ Reproductive Risk: ___						
Task	Exposure Route					Notes: PPE Recommend.; Containment; Process
	Injection	Eye exposure	Ingestion	Inhalation	Dermal exposure	
Receipt						
Storage						
Compounding						
Labeling and Packaging						
Transport / Dispensing						
Administering						
Deactivating and Cleaning						
Disposal						
Spill Handling						
Other:						

Source: Hazardous Drug Consensus Statement (HDCS)

Hazardous Drug Risk Assessment Worksheet						
Drug Name: Propofolone						
Chemical Form: Powder						
Date Performed: 18 March 2017						
SDS Attached: Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>						
Antineoplastic: ___ Carcinogen: <input checked="" type="checkbox"/> Reproductive Risk: <input checked="" type="checkbox"/>						
Task	Exposure Route					Notes: PPE Recommend.; Containment; Process
	Injection	Eye exposure	Ingestion	Inhalation	Dermal exposure	
Receipt		<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Follow Appendix - Process Map: Receiving Hazardous Drugs
Storage						Follow Appendix - Process Map: Receiving Hazardous Drugs
Compounding		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Reference Yesterday's Presentation on Safe Workflow Handling
Labeling and Packaging				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
Transport / Dispensing						
Administering						
Deactivating and Cleaning		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
Disposal		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Reference SOP- Workflow Doc# - Disposing of HD's
Spill Handling		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Reference SOP- Workflow Doc# - HD Chemical Spill Clean Up Procedures
Other:						

Suggestion: Staple a copy of the Safety Data Sheets (SDS) to the back of this worksheet.

COMPLIANCE – USP <800> & PROPOSED <797>

RISK ANALYSIS AND RISK EVALUATION

The “risk evaluation” compares the identification and analysis against a set of “risk criteria.”

The problem is there is no established risk criteria in our industry, so we start our own.

It looks like a **Gap Analysis: Current State vs. Future State**

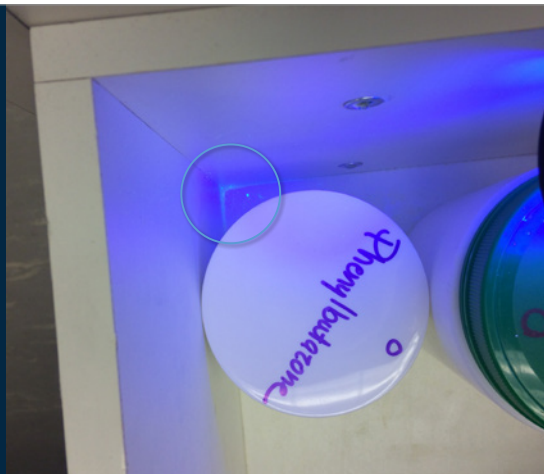


NOTES

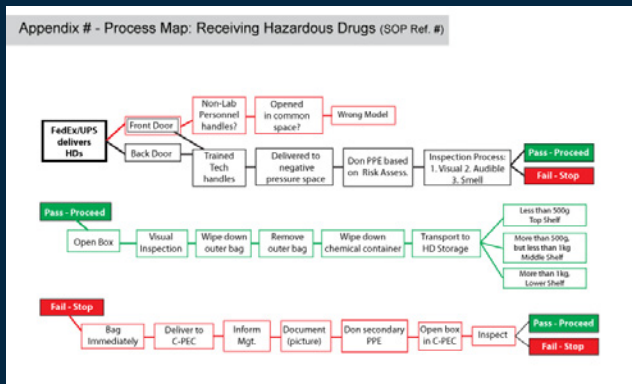
RISK EVALUATION AND RISK REDUCTION

“Why is powder residue detected in the HD storage drawer?”

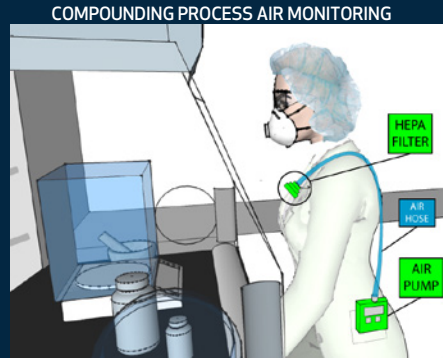
“How do we mitigate (reduce) this situation?”



EXAMPLE-1: RISK ANALYSIS TO RISK EVALUATION TO RISK REDUCTION



EXAMPLE-2: RISK ANALYSIS TO RISK EVALUATION TO RISK REDUCTION



The "breathing pump" monitors are available from third-party analytical testing labs.

**additional benefit: technician competency evaluation*

EXAMPLE OF RISK REDUCTION

Hypothetical Example: Risk Assessment performed on hormone encapsulation compounding process revealed multiple exposure points and technicians advised (or required) to wear a Half Mask respirator with N100 cartridges.

- Step 1:** Technician is explained risk and reason for the need for a respirator (*document and sign*).
- Step 2:** Technician fills out OSHA Respirator Medical Evaluation Questionnaire and sends confidentially to a local healthcare provider.
- Step 3:** Healthcare provider determines if technician is approved or requires additional examination prior to approval.
- Step 4:** If approved, technician is trained on proper use of respirator (*manufacturers have YouTube videos that can serve as training supplements*).
- Step 5:** Technician dons fit hood without respirator. Trainer sprays nebulizer (with sweet fluid provided in kit) into hood while tech opens mouth.
- Step 6:** Technician washes out mouth, waits five minutes, dons respirator, dons hood, and nebulizer process repeated. If tech has no detectable smell or taste, then they pass fit test and are approved to work inside respirator (if fail, adjust straps for fit and repeat).

OSHA Respirator Fit Test



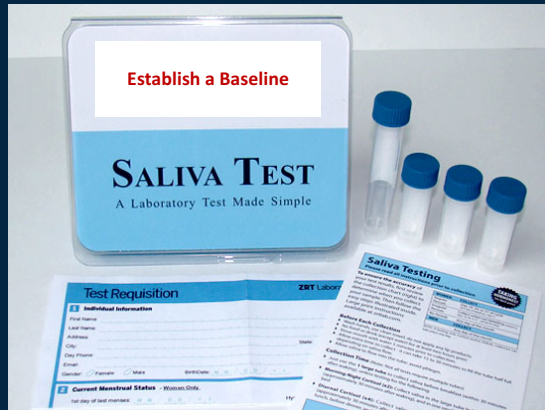
MEDICAL SURVEILLANCE

"Medical surveillance is part of a comprehensive exposure control program complementing engineering controls, safe work processes, and use of PPE. Healthcare workers who handle HDs as a regular part of their job assignment should be enrolled in a medical surveillance program."

- Establishes hazardous communication to personnel
Required under USP <800> Section 8
- Evaluates engineering controls
Required under USP <800> Section 5
- Identifies HD exposure processes
Required under USP <800> Section 8
- Establishes PPE Standards
Required under USP <800> Section 7
- Observation of personnel health on consistent timeline
Visual and/or physical (medical participation is voluntary)
- Current results versus desired future results
GAP Analysis performed during Risk Evaluation
- Environmental monitoring
*"Must" for engineering control monitoring;
"Should" for wipe sampling*

COMPLIANCE – USP <800> & PROPOSED <797>

MEDICAL SURVEILLANCE



NOTES

USP <795> AND <797>: WHAT'S NEW?



HAZARDOUS DRUGS

- Both <795> and <797> revisions refer to <800> in regards to HDs
- Do you live in, or carry a license in, a state that requires <795>/<797> compliance?

USP <795> REVISION

- New term – CNSP – Compounded Non-sterile Preparation
 - Includes nasal and sinus preparations intended for local application
- Designated person – similar to <800> requirement
- Increased formality around hygiene and garbing
 - Hand-washing before a new CNSP – if gloves on, wash with gloves
 - Gloves required, other garb “as appropriate”

USP <795> REVISION

Table 1. Minimum Frequency for Cleaning and Sanitizing Surfaces in Nonsterile Compounding Areas

Site	Minimum Frequency
Floors	Daily, after spills, and when surface contamination (e.g., splashes) is known or suspected
Walls	Every 3 months, after spills, and when surface contamination (e.g., splashes) is known or suspected
Ceilings	Every 3 months, after spills, and when surface contamination (e.g., splashes) is known or suspected
Storage shelving	Every 3 months, after spills, and when surface contamination (e.g., splashes) is known or suspected

USP <795> REVISION

- Containment – any weighing, measuring, or other manipulation of an API must occur inside a containment device
 - Previously only required for HDs
- Ingredient selection – conforms more closely with DQSA language

COMPLIANCE – USP <800> & PROPOSED <797>

USP <795> REVISION

- Labels to contain:
 - Assigned internal identification number (e.g., prescription or lot number)
 - Chemical and/or generic name(s), or active ingredient(s), and amounts or concentrations
 - Dosage form
 - Total amount or volume
 - Storage conditions
 - BUD
 - Indication that the preparation is compounded

NOTES

USP <795> REVISION

- BUDs

Type of Preparation	BUDs (days)	Storage Temperature
Solid dosage forms ^a	180	Controlled room temperature
Preserved aqueous dosage forms ^a	30	Controlled room temperature
Non-preserved aqueous dosage forms ^a	14	Refrigerator
Nonaqueous dosage forms ^a	90	Controlled room temperature

^a See [Packaging and Storage Requirements \(659\)](#).
^b Capsules, tablets, granules, powders.
^c An aqueous preparation is one that has a water activity (Aw) of >0.6 (e.g., emulsions, gels, creams, solutions, sprays, or suspensions).
^d Any preparation other than solid dosage forms that have a reduced Aw of ≤0.6 (e.g., suppositories, ointments, fixed oils, or waxes).

Maximum 180-day BUD!

USP <797> REVISION – ROUND 2!!!

- First draft – Sep 2015
- Second draft – July 2018
 - Second draft SIGNIFICANTLY different than first
- HDs – see USP <800>
- Radiopharmaceuticals – draft of USP <825>
- Allergenic extracts – not in first draft, exempt from requirements of the chapter if certain criteria are met

USP <797> REVISION – ROUND 2!!!

- Definition of CSP
 - “Sterile compounding is defined as combining, admixing, diluting, pooling, reconstituting, repackaging, or otherwise altering a drug or bulk drug substance to create a sterile medication.”
 - “Preparing a conventionally manufactured sterile product in accordance with the directions contained in approved labeling provided by the product’s manufacturer is **not compounding** as long as the product is for an **individual patient** and follows the **provisions for administration** below.”

USP <797> REVISION – ROUND 2!!!

- Risk Categories:
 - Low, Medium, High-risk... GONE!
 - New terminology based on environment
 - Category 1 – PEC in a non-ISO space
 - Category 2 – PEC in an ISO 7 or better buffer *and* ISO 8 or better anteroom
 - Note – this includes all PECs.... *RABS are not exempt*

USP <797> REVISION - BUDS

- Category 1: limited to ≤12 hours room temp, ≤24 hours refrigerated
- Category 2:

Table 12. BUDs for Category 2 CSPs

Preparation Characteristics		Storage Conditions		
Sterilization Method	Sterility Testing Performed and Passed	Controlled Room Temperature (20°–25°)	Refrigerator (2°–8°)	Freezer (–25° to –10°)
Aseptically prepared CSPs	No	Prepared from one or more nonsterile starting component(s): 1 day Prepared from only sterile starting components: 4 days	Prepared from one or more nonsterile starting component(s): 4 days Prepared from only sterile starting components: 9 days	Prepared from one or more nonsterile starting component(s): 45 days Prepared from only sterile starting components: 45 days
	Yes	30 days	45 days	60 days
Terminally sterilized CSPs	No	14 days	28 days	45 days
	Yes	45 days	60 days	90 days

COMPLIANCE – USP <800> & PROPOSED <797>

USP <797> REVISION – TRAINING AND COMPETENCY

- Hand hygiene
- Garbing
- Cleaning and disinfection
- Calculations, measuring, and mixing
- Aseptic technique
- Achieving and/or maintaining sterility and apyrogenicity
- Use of equipment
- Documentation of compounding process
- Principles of unidirectional airflow
- Use of PECs
- Principles of movement of materials

USP <797> REVISION – TRAINING AND COMPETENCY

- Garbing and hand hygiene
 - Initial – 3x, ≥ 1 cfu
 - Post media-fill – every six months, > 3 cfu
 - Visual observation – six months
- Media-fill – every six months (examples have been removed)
- Cleaning and disinfecting – retrain and requalify with change in procedure

USP <797> REVISION

- Hand hygiene, garbing, and gloving
 - Order to be determined by facility
 - Revision allows for sink to be in or out of anteroom
- Presterilization
 - ISO 8 environment
 - Must be in a PEC

NOTES

NOTES

USP <797> REVISION

- Certification – CETA is a *must*
- Six-month cycle for viable and nonviable air sampling
- Surface sampling
 - Monthly requirement in each classified area

USP <797> REVISION

- Compounding Records
 - MFR – required if:
 - CSP prepared in a batch for more than 1 patient
 - CSP prepared from non-sterile ingredients
 - CR – created for all CSPs
 - May be in the form of an Rx order, compounding log, or label
- Recalls, complaints, adverse event reporting – all covered by the chapter

STAY UP TO DATE WITH USP <795> AND <797>

- Sign up for updates at www.usp.org/hqs-signup-form
- Expected date of final publication is June 1, 2019; becomes official December 1, 2019:
 - Harmonizes with USP <800> official date

COMPLIANCE – USP <800> & PROPOSED <797>

USP <795> AND <797> UPDATE TIMELINE

www.usp.org/compounding/updates-on-standards, accessed 3/9/2018



Note: The current version of General Chapters <795> and <797> published in USP-NF are official.

NOTES



THANK YOU

Accreditation Commission for Health Care
 139 Weston Oaks Court
 Cary, NC 27513
 (855) 937 2242 | achc.org



ADDITIONAL RESOURCES



ACCREDITATION COMMISSION *for* HEALTH CARE

ROOT CAUSE ANALYSIS REPORT FORM¹

Pharmacy Name:	Author:					
Department:						
Consumer ID:	Age:	Gender: M F				
City/Town:	Date of Event:	Date RCA Completed:				
1.	THE EVENT – Describe what happened and any harm that resulted. Identify the proximate cause, if known.					
	Team Members Involved:					
	Team Leader:					
2.	BACKGROUND & FACTORS SUMMARY – Answer the following questions (brief summary only- attach supporting documents).					
2.1	What was the sequence of events that was expected to take place? Attach flowchart if available.					
	Description:					
2.2	Was there a deviation from the expected sequence?	<input type="checkbox"/> Yes <input type="checkbox"/> No	If YES, describe the deviation. Attach flowchart if available.			
2.3	Was any deviation from the expected sequence likely to have led to or contributed to the adverse event?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If YES, describe with causal statement.			
2.4	Was the expected sequence described in policy, procedure, written guidelines, or included in staff	<input type="checkbox"/> Yes <input type="checkbox"/> No	If YES, cite source.			

¹ Adapted from a template utilized by the Australian Department of Human Services for use by Health Care Organizations and Hospitals [see <http://clinicalrisk.vic.gov.au/rca/htm> for original form]

	training?	<input type="checkbox"/> NK	
2.5	Does the expected sequence or process meet regulatory requirements and/or practice standards? Cite references and/or literature reviewed by the team.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If NO, describe deviation from requirements/standards.
2.6	Did human action or inaction appear to contribute to the adverse event?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If YES, describe the actions and how they contributed.
2.7	Did a defect, malfunction, misuse of, or absence of equipment appear to contribute to the event?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If YES, describe what equipment and how it appeared to contribute.
2.8	Was the procedure or activity involved in the event being carried out in the usual location?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If NO, describe where and why a different location was utilized.
2.9	Was the procedure or activity being carried out by regular staff familiar with the consumer?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If NO, describe who was carrying out the activity and why regular staff were not involved.
2.10	Was the procedure or activity being carried out by regular staff familiar with the activity?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If NO, describe the perceived inadequacy.
2.11	Were staff trained to carry out their respective responsibilities?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If NO, describe the perceived inadequacy.
2.12	Were staffing levels considered to have been adequate at the time of the incident?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If NO, describe why.

2.13	Were there other staffing factors identified as responsible for or contributing to the adverse event?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If YES, describe those factors.
2.14	Did inaccurate or ambiguous information contribute to or cause the adverse event?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If YES, describe what information and how it contributed.
2.15	Did a lack of communication or incomplete communication contribute to or cause the adverse event?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If YES, describe who and what and how it contributed.
2.16	Did any environmental factors contribute to or cause the adverse event?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If YES, describe what factors and how they contributed.
2.17	Did any organizational or leadership factors contribute to or cause the adverse event?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If YES, describe what factors and how they contributed.
2.18	Did any assessment or planning factors contribute to or cause the adverse event?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If YES, describe what factors and how they contributed.

2.19	What other factors are considered relevant to the adverse event?	Describe:	
2.20	Rank order the factors considered responsible for the adverse event, beginning with the proximate cause, followed by the most important to less important contributory factors. Attach Contributory Factors Diagram, if available.		
	Was a root cause identified? Unqualified personnel in the position of handling Rx's	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NK	If YES, describe the root cause.

<p>3. RISK REDUCTION ACTIONS TAKEN – List the actions that have already been taken to reduce the risk of a future occurrence of the event under consideration. Note the date of implementation.</p>	<p>Action Taken - Description</p> <p>Date Implemented</p>																																
<p>4. PREVENTION STRATEGIES – List from highest priority to lowest priority the recommended actions designed to prevent a future occurrence of the adverse event. Begin with a rank of 1 (highest). For each strategy or action provide an estimated cost, if known, and any additional considerations or recommendations for implementing the strategy (e.g., phase-in, immediate need, triage by risk).</p>	<table border="1"> <thead> <tr> <th data-bbox="256 688 331 982">Rank</th> <th data-bbox="256 982 331 1304">Strategy</th> <th data-bbox="256 1304 331 1472">Estimated Cost</th> <th data-bbox="256 1472 331 1921">Special Considerations</th> </tr> </thead> <tbody> <tr><td data-bbox="331 688 375 982">1</td><td data-bbox="331 982 375 1304"></td><td data-bbox="331 1304 375 1472"></td><td data-bbox="331 1472 375 1921"></td></tr> <tr><td data-bbox="375 688 418 982">2</td><td data-bbox="375 982 418 1304"></td><td data-bbox="375 1304 418 1472"></td><td data-bbox="375 1472 418 1921"></td></tr> <tr><td data-bbox="418 688 462 982">3</td><td data-bbox="418 982 462 1304"></td><td data-bbox="418 1304 462 1472"></td><td data-bbox="418 1472 462 1921"></td></tr> <tr><td data-bbox="462 688 506 982">4</td><td data-bbox="462 982 506 1304"></td><td data-bbox="462 1304 506 1472"></td><td data-bbox="462 1472 506 1921"></td></tr> <tr><td data-bbox="506 688 550 982">5</td><td data-bbox="506 982 550 1304"></td><td data-bbox="506 1304 550 1472"></td><td data-bbox="506 1472 550 1921"></td></tr> <tr><td data-bbox="550 688 594 982">6</td><td data-bbox="550 982 594 1304"></td><td data-bbox="550 1304 594 1472"></td><td data-bbox="550 1472 594 1921"></td></tr> <tr><td data-bbox="594 688 638 982">7</td><td data-bbox="594 982 638 1304"></td><td data-bbox="594 1304 638 1472"></td><td data-bbox="594 1472 638 1921"></td></tr> </tbody> </table>	Rank	Strategy	Estimated Cost	Special Considerations	1				2				3				4				5				6				7			
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<p>5 INCIDENTAL FINDINGS – List and describe any incidental findings that should be carefully reviewed for corrective action.</p>																																	

6.	<p>APPROVAL – After review of this summary report, all team members should notify the team leader of either their approval or recommendations for revision. Following all revisions the report should be signed by the team leader prior to submission.</p>	
	Signature of Team Leader:	Date Signed:

The information contained in this report is confidential and is intended solely to promote safety and reduce consumer risk.

Forward this report to all RCA team members and to the following individuals:

Name	Title	Organization	Address	Email



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